

Cylchlythyr | Circular

Global Challenges Research Fund: Institutional three-year strategies

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To: Heads of higher education institutions in Wales
Response by: 13 April 2018
Contact: Name: Linda Tiller
Telephone: 029 2085 9715
Email: linda.tiller@hefcw.ac.uk

This circular invites institutions in receipt of Global Challenges Research Funding (GCRF) allocations from HEFCW to submit three-year strategies for the use of the funding, covering the period 2018/19 to 2020/21. It also announces changes to monitoring arrangements.

If you require this document in an alternative accessible format, please email info@hefcw.ac.uk.



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Introduction

1. This circular invites institutions in receipt of Global Challenges Research Funding (GCRF) allocations from HEFCW to submit three year strategies for the use of the funding, covering the period 2018/19 to 2020/21. It also announces changes to monitoring arrangements.
2. This additional level of assurance on expenditure is required to meet the conditions attached to Overseas Development Assistance (ODA) funding, of which the GCRF is part. We appreciate the additional burden this creates for institutions in order to meet the requirements of ODA funding and activity.

Global Challenges Research Fund and ODA compliance

3. The Global Challenges Research Fund (GCRF) is a £1.5 billion fund announced by the Westminster Government to support cutting-edge research that addresses the challenges faced by developing countries. The fund forms part of the UK's Official Development Assistance (ODA) commitment, which is monitored by the Organisation for Economic Co-operation and Development (OECD). GCRF is administered by delivery partners, including the four UK HE funding bodies, the research councils and the four national academies. More detail on the aims of the GCRF can be found in the 'UK Strategy for the GCRF'¹.
4. Since academic year 2016/17 HEFCW has been a delivery partner and has distributed GCRF allocations to universities in Wales in proportion to their Research Council income, subject to a funding threshold.
5. The overarching aim of the GCRF as set out in the GCRF strategy is 'to ensure the UK takes the lead in addressing the problems faced by developing countries, whilst developing our ability to deliver cutting-edge research'. The GCRF funding delivered through HEFCW complements the project-based GCRF grants allocated by the other delivery partners. The GCRF allocation delivered by HEFCW – like those delivered by the HE funding bodies in England, Scotland and Northern Ireland – provides flexible institutional grants that can be used strategically to support ODA-compliant activity. This may include underpinning GCRF activity funded through other delivery partners, or by directly supporting valuable research that addresses the problems faced by developing countries and is not (and would not be) funded through the GCRF grants of other delivery partners. Further information about the purposes and eligible uses of GCRF funding provided via HEFCW is provided at **Annex A**.

¹ UK Strategy for the Global Challenges Research Fund www.rcuk.ac.uk/funding/gcrf/challenges/

Indicative GCRF Allocations for 2018/19 and 2019/20

6. The Department for Business, Environment and Industrial Strategy (BEIS) has provided us with indicative figures for the funding which it will make available to HEFCW for GCRF in 2018/19 and 2019/20. BEIS has calculated its indicative allocations to the UK HE funding bodies in those years in proportion to each country's share of total UK Research Council funding. The indicative figures for Wales are £1.778 million in 2018/19 and £2.045 million in 2019/20. These figures will be subject to annual confirmation.
7. Reflecting the purpose for which the funding has been provided, HEFCW intends to continue to allocate its GCRF funding pro rata to universities' Research Council grant income. A straight pro-rata allocation would result in small allocations in some cases. In order to avoid that, and consistent with the approach that we have adopted for Higher Education Research Capital, we intend to continue to apply a funding threshold. As in previous rounds of the scheme, funding will only be provided to those institutions which generate an allocation of at least £50k through the operation of the pro-rata allocation formula.
8. The allocations to institutions for 2018/19 and the indicative allocations for 2019/20 are set out at **Annex B(ii)**. This information is provided to assist universities in receipt of funding with the preparation of their strategies. The figures will be subject to annual confirmation.

Institutional three-year QR GCRF strategies

9. To demonstrate that the funding is being spent on ODA-compliant activity and to support the case for continued funding, we are implementing a triennial exercise in which HEIs in receipt of GCRF funding from HEFCW are required to submit a three-year GCRF strategy. Submitting a strategy is not mandatory, but HEIs will only be able to receive GCRF allocations from HEFCW for the three years from 2018/19 by submitting a strategy that is subsequently assessed and approved as ODA compliant. Similar arrangements are also being introduced by the other three UK HE funding bodies.
10. The strategy should:
 - outline the HEI's overarching strategy for development-related research activity
 - provide plans for the use of GCRF funding from HEFCW in 2018/19
 - set out priorities for the use of GCRF funding from HEFCW in the subsequent two years covered by the strategy.
11. HEIs in receipt of GCRF funding from HEFCW are asked to provide a strategy using the Word template at **Annex C1** and the Excel template at **Annex C2**. Downloadable versions of these templates are available alongside this circular (under Secondary Documents.)

12. The strategies should be provided based on the indicative allocations for GCRF funding from HEFCW outlined in Annex B. As we do not yet have indicative allocations for 2020/21, we suggest that, for the purposes of identifying expenditure priorities for that year, it should be assumed that allocations will be at a similar level to those for 2018/19.
13. In developing their strategies, eligible institutions should take account of the description of relevant policies given in Annex A to ensure ODA compliance and alignment with broader GCRF strategy. They should also ensure that plans and priorities for the use of the funding are consistent with the eligible uses of the HEFCW GCRF funding, as set out at Annex A. Detailed guidance for completing the templates is given at **Annex D**.
14. It is important that HEIs in receipt of HEFCW GCRF funding provide us with full and substantive documents, with appropriate length to fit the institution's level of HEFCW GCRF allocation and scale of ODA activity.

Assessment of institutional three-year QR GCRF strategies

15. HEFCW's acceptance of an institution's strategy is necessary for the institution to receive a GCRF allocation from HEFCW for 2018-19 onwards.
16. We will assess the strategies against the four core criteria for assessing bids which are used by the GCRF delivery partners. These criteria are:
 - a. Activity should be focused on problems and solutions.
 - b. Activity should be underpinned by research excellence, and partners in developing countries should play a significant role in this where possible.
 - c. Activity should have a high likelihood of positive impact on the economic development and welfare needs of developing countries.
 - d. Activity should promote strong, enduring and equitable partnerships between academic communities in the UK and in the global south².
17. Additionally, we will also assess the strategies against the following criteria:
 - a. A sound and sustainable approach to ODA activity, in line with the HEI's core strategy, the wider GCRF strategy produced by the delivery partners, and ODA guidelines.
 - b. An appropriate and compliant plan for spending HEFCW's GCRF allocations in 2018-19, in line with GCRF and ODA eligibility criteria.

² Global Challenges Research Fund Strategic Advisory Group: Criteria for GCRF Funding, www.rcuk.ac.uk/funding/gcrf/sagtor/

- c. Appropriate and compliant intentions for future spending of HEFCW's GCRF allocations in line with both GCRF and ODA criteria.
 - d. Identification of main intended outcomes and impacts.
 - e. A sound approach to managing ODA activities, including appropriate processes to monitor, evaluate and report GCRF and ODA activity in the HEI, and the ability to account for actual funding body GCRF expenditure.
18. HEFCW will be responsible for assessing the strategies but, in any cases where we consider that additional expertise is required, we will seek advice from a group of ODA experts from Research Councils UK (RCUK).
 19. We expect to inform HEIs whether their strategy has been approved as compliant in May 2018. Where necessary, we will request clarification or, if a strategy does not meet the published criteria, request resubmission. We may withdraw, reduce or attach conditions to an HEI's future funding if, in our judgement, its strategy does not adequately meet the criteria listed in paragraphs 16 and 17.
 20. We reserve the right to ask HEIs to submit an updated institutional strategy, potentially addressing new priorities, at any time over the three-year strategy period. This would occur if the Westminster Government were to request that we demonstrate that HEIs can meet new opportunities and priorities for ODA activity.

Annual monitoring

21. As a condition of grant from 2018/19, all institutions in receipt of GCRF funding from HEFCW will be asked annually to report on the progress of their three-year GCRF strategy.
22. For annual monitoring from 2018/19, HEIs should expect to be required to:
 - quantify and break down activities by spending for their whole GCRF allocation for the year in question
 - provide information on the types of activity funded, the DAC list countries involved, and the impacts and outputs produced.
 - report on their progress against the intentions outlined in their strategies, and explain how activities align with their strategy or why any activities have diverged from their strategy.
 - provide evidence as to why any activity funded through their GCRF allocation from HEFCW is relevant and primarily beneficial to the economic development and welfare of developing countries.

23. Any part of an HEI's QR GCRF allocation that is not spent on ODA-compliant activities will be recouped.
24. We will develop an annual monitoring template for 2018/19 onwards following assessment of the strategies, and will seek to align it with monitoring and evaluation processes used by other GCRF delivery partners. We aim to provide further information on the format of the monitoring report for 2018/19 onwards in autumn 2018.
25. For 2017/18 funding, we will ask all institutions in receipt of funding to provide up to three case studies on the use of their allocations, as we did in 2016/17. We will also ask them to provide an expenditure breakdown for their full allocation, where possible, as well as to provide information on the types of activity funded, the DAC list countries involved, and the impacts and outputs. We will issue the full request for the 2017/18 monitoring report in summer 2018.
26. As with other HEFCW funding schemes, we will not fund in advance of need. If expenditure on GCRF activities funded by HEFCW slips substantially, we expect HEIs to contact us immediately to discuss the appropriate course of action. If an institution is not achieving sufficient progress against its strategy, or is not meeting ODA compliance criteria, we may withhold funding from that institution.
27. We expect QR GCRF strategies to reflect enduring institutional and academic capabilities and long-term partnerships with DAC list countries, and intended outcomes and impacts which will not change rapidly. However, in the case of a wholesale change of strategy, HEIs should either reflect this in annual monitoring or approach us directly.

Publication and transparency

28. The strategies and monitoring returns produced by HEIs for GCRF funding will be subject to ODA transparency requirements. These requirements are under development and exact requirements will be communicated to HEIs as necessary. At the very least, we will publish institutions' GCRF strategies on our website. HEIs should also expect that monitoring returns and any analyses made of them will be shared with BEIS, other GCRF delivery partners and other ODA groups.

Assessing the impact of our policies

29. We have carried out an impact assessment screening to help safeguard against discrimination and promote equality. We also considered the impact of policies on the Welsh language, and Welsh language provision within the HE sector in Wales and potential impacts towards the goals set out in the

Well-Being of Future Generations (Wales) Act 2015 including our Well-Being Objectives.

Further information and responses

30. Institutions in receipt of GCRF funding from HEFCW should submit their completed strategies by email to linda.tiller@hefcw.ac.uk by **Friday, 13 April 2018**. The strategies should be provided using the templates at Annexes C1 and C2.
31. For further information contact Linda Tiller, Senior Research Manager (tel 029 2085 9715; email linda.tiller@hefcw.ac.uk).

Purpose of GCRF funding and eligible uses of HEFCW allocations

1. GCRF allocations provided by HEFCW must be used according to ODA principles, which state that only research directly and primarily of benefit to the problems of developing countries may be counted as ODA³. The costs may still be counted as ODA if the research is carried out in a developed country⁴. Consequently, activity funded through QR GCRF allocations must promote the economic development and welfare of developing countries as its main objective. Any benefit to the UK or other developed countries from HEFCW GCRF activity must be a secondary consideration. GCRF activity must also comply with ODA guidelines by working with and supporting development in countries and territories on the Development Assistance Committee's (DAC) [list of ODA recipients](#)⁵.
2. The GCRF delivery partners that administer competitive funding calls have identified four core criteria for assessing bids. HEIs receiving GCRF allocations from HEFCW should apply the same considerations when determining which activities to fund through these GCRF allocations. These criteria are:
 - a. Activity should be focused on problems and solutions.
 - b. Activity should be underpinned by research excellence, and partners in developing countries should play a significant role in this where possible.
 - c. Activity should have a high likelihood of positive impact on the economic development and welfare needs of developing countries.
 - d. Activity should promote strong, enduring and equitable partnerships between academic communities in the UK and in the global south⁶.
3. A key challenge for all development processes is to be sustainable. GCRF allocations from HEFCW support sustainable initiatives with partner countries in multiple ways. In particular, these allocations could be used in the following ways:
 - a. **Capacity and capability building.** HEFCW GCRF allocations can be used sustainably to strengthen capacity for research and innovation in the UK and developing countries by supporting excellent research and

³ Global Challenges Research Fund, Guidance, www.rcuk.ac.uk/funding/gcrf/

⁴ Is it ODA?, www.oecd.org/dac/stats/officialdevelopmentassistancedefinitionandcoverage.htm

⁵ Development Assistance Committee list of Official Development Assistance Recipients, www.oecd.org/dac/stats/daclist.htm

⁶ Global Challenges Research Fund Strategic Advisory Group: Criteria for GCRF Funding, www.rcuk.ac.uk/funding/gcrf/sagtor/

researchers to address challenges faced by developing countries. Activities should build on the strengths of the UK HEI and can involve:

- i. Establishing or enhancing equitable and sustainable partnerships with researchers and other organisations in developing countries. We encourage HEIs to develop partnerships with a diverse range of DAC list countries – including across a diversity of geographical locations – and particularly to collaborate with the lowest- and lower-income countries on the DAC list while remaining sympathetic to economic geographies.
 - ii. Growing people-based capacity and capability to undertake ODA-eligible research across career stages in the UK and developing countries, to enable the best research to address challenges faced by developing countries.
 - iii. Investing in people capacity to support the delivery of ODA-eligible research, outcomes and impacts in the UK and developing countries.
 - iv. Increasing the engagement of the UK research community with international development challenges, particularly by enhancing expertise and focusing this expertise on the challenges faced by developing countries.
- b. **Mono-disciplinary, interdisciplinary and collaborative research activity.** HEFCW GCRF allocations can be used to facilitate mono-disciplinary, interdisciplinary and collaborative research activity that sustainably promotes the economic development and welfare of developing countries. Interdisciplinary activity and collaboration may be within the UK or with global organisations, or may involve sustainable partnerships with organisations in developing countries. We encourage HEIs to establish equitable partnerships with collaborators in developing countries.
- c. **Generating impact from research in and beyond the sector.** HEFCW GCRF allocations can be used to translate research into sustainable social and economic impact that promotes economic development and welfare in developing countries.
- d. **Meeting the full economic costs of eligible research funded by other delivery partners.** HEFCW GCRF allocations can be used to meet the full economic costs of ODA-eligible research funded through GCRF awards from other delivery partners, and of research not funded by GCRF awards that still meets ODA and GCRF compliance criteria. This enhances the sustainability of ODA research activity by allowing HEIs to meet full costs.
- e. **Rapid response to emergencies where there is an urgent research need.** HEFCW GCRF allocations can be used to respond rapidly to

emergencies in developing countries that urgently require research, for example disease outbreaks or natural disasters, as long as that research promotes the economic development and welfare of developing countries.

- f. **Pump-priming activities to underpin GCRF and Newton Fund bids to other funders, including relationship building.** HEFCW GCRF allocations may be used to enable pump-priming that will lay the ground for future ODA activity to promote the economic development and welfare of developing countries. Funding may be used in this way to facilitate:
 - i. Networking meetings and other events to develop sustainable strategies and partnerships for future activities.
 - ii. Small pump-priming projects to generate preliminary data for future applications.
 - iii. ODA-compliant research activity on a HEI's overseas campuses as well as its UK campuses.
4. It is expected that the outcomes of pump-priming activities will inform more substantive research into and impact on the welfare and economic needs of developing countries. HEIs should note that using HEFCW GCRF allocations to provide staff time or any other resources to assist in the development of bids to other funders (for GCRF or non-GCRF awards) will **not** be considered ODA-eligible activity.

**Global Challenges Research Fund
Indicative Allocations – 2018/19 and 2019/20**

	Research Council research grant income 2015/16	Indicative GCRF allocations – 2018/19 <i>Subsequently revised by BEIS – see Annex B(ii)</i>	Indicative GCRF allocations – 2019/20 <i>Subsequently revised by BEIS – see Annex B(ii)</i>
	£	£	£
University of South Wales	380,000	0	0
Aberystwyth University	10,885,000	279,036	320,955
Bangor University	4,330,000	110,999	127,674
Cardiff University	36,539,000	936,674	1,077,389
University of Wales Trinity Saint David	61,000	0	0
Swansea University	17,605,000	451,303	519,101
Cardiff Metropolitan University	225,000	0	0
Wrexham Glyndŵr University	181,000	0	0
Centre for Advanced Welsh & Celtic Studies	599,000	0	0
Total	70,805,000	1,778,012	2,045,119

Source for Research Council research grant income: HESA Resources for Institutions of Higher Education

Global Challenges Research Fund
Revised Allocations – 2018/19 and 2019/20

	Research Council research grant income 2015/16	Actual GCRF allocations – 2018/19	Revised indicative GCRF allocations – 2019/20
	£	£	£
University of South Wales	380,000	0	0
Aberystwyth University	10,885,000	345,451	397,347
Bangor University	4,330,000	137,419	158,063
Cardiff University	36,539,000	1,159,616	1,333,823
University of Wales Trinity Saint David	61,000	0	0
Swansea University	17,605,000	558,719	642,655
Cardiff Metropolitan University	225,000	0	0
Wrexham Glyndŵr University	181,000	0	0
Centre for Advanced Welsh & Celtic Studies	599,000	0	0
Total	70,805,000	2,201,205	2,531,888

Source for Research Council research grant income: HESA Resources for Institutions of Higher Education

Template for institutional three-year GCRF strategies (AY 2018-19 onwards)

Please complete this form, and the **table in Annex C2**. Downloadable versions of these templates are available alongside this circular (under Secondary Documents). Text boxes may be expanded to the required length. While you may use the full word limit, you may wish to make your response proportionate to your GCRF allocation from HEFCW and scale of ODA activity. Please do not attach other documents or annexes. Guidance for completing the forms is provided at **Annex D**.

Completed form and tables should be emailed to linda.tiller@hefcw.ac.uk by **Friday 13 April 2018**.

Name of institution	
Contact person for correspondence who is also responsible for ensuring that the head of institution has approved this strategy for submission to HEFCW.	
Name	
Position	
Address	
Email	
Phone	
Note that we intend to contact this person in the event of queries regarding the institutional GCRF strategy. We will also contact this person annually as part of our GCRF monitoring process.	
Has this GCRF strategy been approved for submission to HEFCW by the head of institution?	
Yes/No (delete as appropriate)	

Section A: Official Development Assistance (ODA) and GCRF strategy

The strategy

1. Summarise the key aspects of your three year **strategy for development related and GCRF research activity**, including:
 - a. Your institution's strategy and priority objectives for all development related research activity funded through all sources for three years from 2018/19.
 - b. Summary of the key aspects of your three year strategic plan for GCRF funding from HEFCW in light of the criteria and objectives for the GCRF outlined in the guidance.
 - c. How activity funded through GCRF funding from HEFCW fits into your broader strategy and priorities for all development related research activity.
 - d. How activity funded through GCRF funding from HEFCW relates to the UK strategy for the GCRF.⁷
 - e. How your development-related and GCRF strategies relate to your wider institutional strategy for using QR.
 - f. Likely key barriers and enablers to implementing your strategy.
 - g. The key activities by which you will realise your objectives, such as capacity and capability building; mono-disciplinary interdisciplinary and collaborative research; generating impact from research; meeting the full economic cost of GCRF activity funded through other sources; rapid response to emergencies with an urgent research need; and pump priming.
 - h. The main Development Assistance Committee (DAC) list developing countries you intend to collaborate with.

Maximum 3,000 words

2. Provide details of the main intended **outcomes and impacts** of your strategy.

Maximum 500 words

⁷ UK Strategy for the Global Challenges Research Fund, www.rcuk.ac.uk/funding/gcrf/challenges/

Management of GCRF

3. How will your HEI **monitor** and **evaluate** its progress and compliance in ODA and GCRF activity, including assessing geographical distribution of activity, outputs, outcomes and economic and social impacts?
Please describe the policies, procedures and approach you have in place to measure progress, evaluate outcomes, identify lessons learned, and ensure ODA compliance.

Maximum 1,500 words

Section B: Use of HEFCW GCRF 2018/19 allocation and future HEFCW GCRF priorities

4. Please complete the table in Annex C2 (downloadable version available alongside this circular) detailing the expected spending and activities for your GCRF allocation from HEFCW in the academic year 2018/19. Note that the total expenditure must equal the indicative allocation (shown in Annex B), and all activities must be ODA-compliant for strategies to be assessed as ODA-compliant overall.
5. Please add here any explanatory notes on how you have completed the table in Annex C2 that will help inform assessment of ODA compliance.

Maximum 200 words

6. How would your **priorities and activities** for use of 2018/19 GCRF funding from HEFCW change if the funding level differs from that outlined in indicative allocations? Please include detail of how priorities will change with increases and decreases to HEFCW GCRF funding, and details of how each priority meets ODA criteria.

Maximum 500 words

7. Based on indicative funding allocations, what are your **priorities** for use of GCRF funding from HEFCW in 2019/20? Please include detail of how priorities will change with increases and decreases to HEFCW GCRF funding, and details of how each priority meets ODA criteria.

Maximum 1,000 words

8. Based on indicative funding allocations, what are your **priorities** for use of GCRF funding from HEFCW in 2020/21? Please include detail of how priorities will change with increases and decreases to HEFCW GCRF funding, and details of how each priority meets ODA criteria.

Maximum 1,000 words



Annex C2

QR Global Challenges Research Fund: Institutional three-year QR GCRF strategies

Table C2: Detailed proposals for spending of GCRF allocations from HEFCW in academic year 2018/19

Note: 'GCRF' = 'Global Challenges Research Fund'; 'DAC' = 'Development Assistance Committee'.

Project	Type of activity	GCRF funding from HEFCW (£)	Research Council or other (£)	DAC nations	Benefits to DAC nations	Outputs and impacts
<i>Description of the activity or project title</i>	<i>Capacity and capability building Mono-disciplinary, interdisciplinary and collaborative research Generating impact from research Meeting full economic costs of research funded by other sources Rapid response to emergencies with an urgent research need Pump-priming</i>	<i>Level of funding used from GCRF allocation from HEFCW</i>	<i>Project funding from Research Councils or other sources (please indicate whether these are GCRF awards)</i>	<i>Nations involved or benefitting as listed by the DAC</i>	<i>Description of primary benefits to economic and welfare development in partner DAC nations</i>	<i>Description of intended outputs and impacts</i>
		Total:	Total:			

Detailed guidance for completion of institutional strategies

1. Annex A1 provides a maximum word limit for each question. While you may use the full word limit, you may wish to make your response proportionate to your GCRF allocation from HEFCW and scale of ODA activity. All institutional strategies will be published on the HEFCW website.

Question 1: Development-related research and strategy for GCRF funding from HEFCW

2. This question should cover **all** development-related activities, funded from all sources including your GCRF allocation from HEFCW, but should make clear which elements of your strategy are particularly funded through GCRF allocation from HEFCW. Your response should also outline how your approach to activities funded through your GCRF allocation from HEFCW relates to the UK Strategy for the GCRF. Policy and guidance on ODA compliance and the UK Strategy for the GCRF can be found at:
 - UK Strategy for the GCRF: www.rcuk.ac.uk/funding/gcrf/challenges/
 - RCUK GCRF and ODA guidance: www.rcuk.ac.uk/funding/gcrf/
 - 'Is it ODA?' factsheet: www.oecd.org/dac/stats/officialdevelopmentassistancedefinitionandcoverage.htm
 - DAC list of ODA recipients: www.oecd.org/dac/stats/daclist.htm
3. In our monitoring of our GCRF funding we will assess institutions' progress and achievements against their key priorities and strategy for the funding, as well as assessing that these intended activities are ODA-compliant. This question is therefore very important. This is about producing a strategy that is true to the particular institution, its mission, institutional and academic capabilities, ODA activities, DAC country partners and intended outcomes and impacts. We anticipate that different HEIs will produce quite different strategies; this is to be expected and welcomed.

Question 2: Outcomes and impacts

4. In monitoring HEFCW GCRF funding we will assess institutions' progress and achievements against their main intended outcomes and impacts described in response to this question, and will also assess the ODA compliance of these outcomes and impacts. This question is therefore also very important. Only research and activity that directly and primarily benefits developing countries on the DAC list may be counted as ODA-compliant, and intended outcomes and impacts should be linked to specific DAC list countries. Any benefits to developed countries must be secondary considerations. Your response should focus on the few headline outcomes and impacts that are most significant to your GCRF strategy and should describe the principal types of impacts and outcomes as well as the specific

countries they are intended to impact upon. Distinguish between impacts and outcomes intended to benefit developing countries, and secondary impacts and outcomes which are of benefit to developed countries. You should include in **Question 3** details of how you will measure success in achieving these main impacts and outcomes, and more broadly for all activities and impacts.

Question 3: Monitoring and evaluation

5. Your response should cover your overall approach to monitoring, but particularly details of how you will ensure, track and report ODA compliance of all activity funded through GCRF funding from HEFCW, and how you will measure success in the main intended headline outcomes and impacts in **Question 2**. This might include information about how you allocate HEFCW GCRF funding within your institution, how you will monitor progress and compliance, and your criteria for measuring success. We will use the information provided in this question and elsewhere in the strategy to develop a monitoring template that will be used annually by to assess the ODA compliance of HEFCW GCRF allocations and progress against strategies.

Questions 4 and 5: Table in Annex C2

6. To support the Government's requirement to report to the OECD on ODA activity, institutions in receipt of GCRF funding from HEFCW must provide a breakdown of their QR GCRF allocation to ensure that the allocation is spent on ODA eligible activity. Detail all expected activities and projects that will be undertaken using HEFCW GCRF funding in AY 2018/19, based on the indicative allocation provided in Annex B. The total amount of HEFCW GCRF funding detailed in the table must equal your institution's total HEFCW GCRF allocation for 2018/19. You will need to link projects to specific DAC list countries and intended outputs and impacts to be assessed as ODA compliant. Note that you will be monitored against these intended projects in the annual monitoring exercise, and will be asked to justify deviations from these intended activities, including by providing assurance of ODA compliance for any activities that were not listed in this table.
7. The plans for the use of the HEFCW GCRF funding must be consistent with the eligible uses of the funding, as set out at Annex A.

Questions 6, 7 and 8: Priorities

8. To support the Government's requirement to report to the OECD further, these questions ask for detail on intended activities and priorities for your use of HEFCW GCRF in the first year covered by the strategy in the case of changes to funding levels, and for the second two years covered by this

strategy, 2019/20 and 2020/21. These question should provide information on the high-priority GCRF and ODA areas and activities that are likely to be protected if your HEFCW GCRF allocation is reduced, or increased if your future QR GCRF allocation increases; and on the lower-priority areas that might be cut or reduced if your HEFCW GCRF allocation is reduced. The activities and priorities outlined here should refer to the activities and projects detailed in the Table in Annex C2 for **Question 4**. Information should also be provided on new activities that will begin in 2019/20 or 2020/21, but are not included in the Table in Annex C2 for 2018/19. HEIs will be monitored against their answers to these questions to ensure ODA compliance and to measure progress against strategies.