

Cylchlythyr | Circular

HEFCW Institutional Payments Academic Year 2015/16

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To: Heads of higher education institutions in Wales
Principals of directly-funded further education colleges in
Wales
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This circular provides details of all grants made by the Higher Education Funding Council for Wales (HEFCW) to higher education institutions (HEIs) in Wales, directly funded further education institutions (FEIs) in Wales and the Coleg Cymraeg Cenedlaethol for the Academic Year (AY) 2015/16.

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HEFCW Institutional Payments – Academic Year 2015/16

1. This publication provides details of all grants made by the Higher Education Funding Council for Wales (HEFCW) to higher education institutions (HEIs) in Wales, directly funded further education institutions (FEIs) in Wales and the Coleg Cymraeg Cenedlaethol for the Academic Year (AY) 2015/16. Except where noted otherwise, all information refers to payments made in the academic year (AY) 1 August 2015 to 31 July 2016.
2. All figures recorded are actual payments made to institutions during that AY. Details of HEFCW's formula funding methods can be found on HEFCW's website at www.hefcw.ac.uk.
3. These allocations were first announced in circular W15/09HE (HEFCW's Funding Allocations 2015/16) and then updated in circular W16/16HE (HEFCW's Funding Allocations 2016/17). The detail in this paper will not match either exactly due to the fact that the first circular is a forward looking budget position, the second an in year position, and the detail in this circular a retrospective actual position. The variations will be due to payment timing differences and/or changes in budget line amounts, and/or in year addition of budget lines.
4. Annex A presents the grant payments by budget line and under the headings: Teaching, Research, Other and Non-Grant in Aid (GIA). Annexes B and C which were provided in this circular last year and showed tuition fee grant payments to institutions by the Student Loans Company (SLC) are no longer provided as the responsibility for tuition fee grant funding has transferred to the Welsh Government.

Description of lines

Teaching

Part-time (PT) Fee Waiver Scheme

5. The part-time (PT) Fee Waiver scheme provides institutions with funding for fee waivers to PT students in receipt of certain benefits. The scheme was established in 1999 at the request of the then Welsh Office. The PT Fee Waiver scheme was changed for 2014/15 as described in circular W14/28HE.

Full-time undergraduate and PGCE (FT UG/PGCE) Funding

6. This is a premium based fund designed to focus funding in the areas that were awarded more HEFCW funding prior to the introduction of the new fee regime in 2012/13 than is available through fee income since the introduction of that regime. These areas are Clinical Dentistry and Medicine and the performance element of Conservatoire training. In

addition, a per capita amount of £5 was awarded for all FT UG/PGCE students.

Part-time and Postgraduate Taught (PGT), Per-capita, Premiums and Teaching

7. Allocations of credit-based funds for PT UG and PT PGT teaching have been determined using HEFCW's established teaching funding method. This is described in detail in HEFCW circular W99/71HE, dated 30 July 1999. HEFCW circular W01/66HE, dated 3 August 2001, sets out the arrangements and procedures under which funding by credit value operates. A change was made in AY 2011/12 with the removal of the recovery element of the method.
8. In addition to the credit-based teaching funding, several premiums were allocated to PT UG and PGT provision. An access and retention premium was allocated in respect of PT UG provision; and a disability premium and a Welsh medium premium were allocated for PT UG and PT PGT provision. In addition, a per capita amount of £5 was awarded for all PT and PGT students.

Also included in Teaching are:

Cardiff Compensation Award

9. This fund had been agreed between the Welsh Government and Cardiff University and is part of a 5 year deal which was negotiated to compensate Cardiff for the loss of medical students who were moved to Swansea University as part of the Swansea Graduate Entry Scheme. The fund provided support for some additional numbers in Dentistry and is given to us as a discrete fund to pass onto Cardiff. This is the final year of this award.

OU Transitional Funding

10. Transitional funding for the OU was provided to recognise the removal of strategy funding and their inability to recoup that funding through FT UG fee income.

Research

Postgraduate Research (PGR) Funding

11. PGR funding was allocated by formula to help support the training of postgraduate research students. A disability premium was also allocated in respect of PGR students.

Quality Research (QR)

12. QR is formula funding for research which was allocated on the basis of the outcomes of the Research Excellence Framework (REF 2014).

Sêr Cymru

13. This programme aims to build upon and enhance research in Wales, in line with the objectives of Science for Wales, the Welsh Government's strategic agenda for science and innovation in Wales.
14. The investment focuses on three Grand Challenge areas – areas of scientific excellence which also have particular relevance to business and society. These areas are: life sciences and health; low carbon, energy and environment; and advanced engineering and materials.

Welsh Crucible

15. Funded by a consortium of Welsh higher education institutions and the Higher Education Funding Council for Wales, Welsh Crucible brings together thirty researchers to explore how they can work together to tackle the current research challenges facing Wales.
16. Welsh Crucible is based on NESTA's highly esteemed 'Crucible' development programme, and has been informed by the successes of the Scottish Crucible.

History Research Wales

17. History Research Wales (HRW) was established in 2009 to initiate collaboration between institutions. This collaborative development was led by Swansea University under the auspices of the Saint David's Day Alliance. As a result of HEFCW discussions with the Alliance, funding was set aside in order to significantly enhance HRW activities and to trigger investment by the partner institutions. The negative balance reflects the final reconciliation and conclusion of the project.

Other

International Initiatives

18. As part of the move to institutions making more contribution to various budget lines as a result of their increased fee income, various international budget lines have been brought together (e.g. Welsh Higher Education in Brussels and Wales International Consortium). This funding was paid to Higher Education Wales who have agreed to pay for these elements on behalf of the sector.

Reaching Wider

19. The RW programme is a mechanism for widening access on a collaborative regional basis. The programme's focus is explicitly on Communities First areas, and looked after children and care leavers.
20. This budget line was previously part of the special ring-fenced "Reaching Higher" income stream which has now ended. As a result, this budget line, which supports the three regional 'Reaching Wider' widening access partnerships, has been "mainstreamed" and is now part of our Academic year budget allocation process.

Strategic Development Fund

21. This fund is to support collaborative developments which play into the wider Reaching Higher agenda. Examples of uses of the fund include:
 - Developing management capability shared between institutions (e.g. to address procurement, HR and sustainability issues)
 - collaborating in teaching and learning, including pedagogical innovation (e.g. technology enhanced learning)
 - subject rationalisation
 - developing third mission activity between institutions and with external partners
 - 'pump priming' (increasing expenditure to increase output) the development of research infrastructure between institutions
 - contributing to funding proposals involving other parties such as Welsh Government partners, the Research Councils and major charities, or other UK-wide agendas - particularly where a small investment by HEFCW could help secure a much larger investment from other, especially UK-wide, sources

Subjects of Broader Importance

22. This budget supports subjects of broader importance to Wales. It is made up of the Science, Technology, Engineering and Maths (STEM) Programme, focussing on sustainable activities with the potential to achieve long-term impact rather than short term gains and the provision of funding in support of Modern Foreign Languages.

Universities of the Heads of the Valleys Institute (UHOVI)

23. The Universities of the Heads of the Valleys Institute was a venture established by the University of Glamorgan and the University of Wales, Newport (now merged as University of South Wales) which aimed to inspire people to improve or update their qualifications and skills. It offered opportunities for adults of all ages to study at local venues across Blaenau Gwent, Caerphilly, Merthyr Tydfil, Rhondda Cynon Taff and Torfaen.

Welsh Medium Provision Fund

24. The Welsh Medium Provision Fund for 2015/16 AY brings together several elements into a single budget. This recognises in particular the establishment of the Coleg Cymraeg Cenedlaethol (the Coleg) and the funding which will support its activities. The budget line therefore incorporates the original Welsh Medium Provision Fund, funding for the predecessor Centre for Welsh Medium Higher Education, funding to replace the Reconfiguration and Collaboration/One Wales funding, as well as funding for new activities by the Coleg Cymraeg Cenedlaethol agreed by Welsh Government in line with the recommendations of Professor Robin Williams' report (Y Coleg Ffederal) on which the establishment of the Coleg was based.

Sector Agency Funding

25. Support for sector agencies and some programmes (e.g. Jisc, the Higher Education Academy and institutional costs of the National Student Survey) are now being funded by institutions. Funding to the sector agencies is paid through HEFCW, and HEFCW officers continue to administer the funding arrangements and monitor performance, but the funding is recouped by HEFCW from institutions.

Graduate Opportunities (GO) Wales

26. GO Wales was a major programme to develop student and graduate employability across Wales and, through that, support economic growth, particularly through small and medium-sized enterprises (SMEs). Part of this programme was assigned to the European Social Fund (ESF) projects. As this was the final year of this element of the project, the negative figure reflects the final reconciliation and conclusion.

Non Grant in Aid

Coleg Cymraeg Cenedlaethol (CCC or the Coleg) Scholarships

27. Funding for the Coleg's scholarship schemes is made available by virtue of a separate agreement between the Welsh Government and HEFCW on the basis that HEFCW does not have powers to support students directly. Scholarship scheme funding does not, therefore, form part of the Coleg's main grant from HEFCW, but is essentially ring-fenced for the provision of scholarships.

BIS Match Funds

28. The Department for Business Innovation and Skills (BIS) confirmed that it would provide research capital funding to the four UK HE funding bodies for 2015-16. The funding was awarded pro-rata to the average research council income received by their respective institutions. BIS required this

funding to be matched by a similar contribution and Welsh Government provided this.

29. The Welsh Government element of the grant was allocated pro rata to recurrent Quality Research (QR) and other research income. In calculating the allocations we used the most recent data available with the Welsh Government element based on 2015/16 recurrent QR grant and 2013/14 other research income. Similarly, the BIS allocation had been calculated by reference to an average of the 20011/12-2013/14 Research Councils' income received.

UK Research Partnership Investment Fund (UKRPIF)

30. The UK Research Partnership Investment Fund (UKRPIF) provides funding for capital investment in major new research facilities in universities which have a significant track record of research excellence. The funding has been made available on a UK-wide basis through the Department for Business, Innovation and Skills, to be distributed by the Higher Education Funding Council for England (HEFCE) acting in conjunction with the other UK higher education funding bodies.

Police Knowledge Fund

31. Cardiff University was awarded funding under the Police Knowledge Fund, a scheme established by the College of Policing, HEFCE and the Home Office to encourage collaboration between academia and police forces in order to increase evidence-based knowledge, skills and problem solving approaches within policing. Cardiff University leads a collaborative project, Open Source Communications, Analytics and Research (OSCAR) Development Centre. The award to Cardiff University is channelled through HEFCW, under the terms of a Memorandum of Understanding drawn up with HEFCE. HEFCW is providing funding to Cardiff in accordance with an agreed invoicing and payment schedule between September 2015 and December 2016.

Further information

32. For further information, contact David Hunt (tel 029 20859724; email david.hunt@hefcw.ac.uk).

Annex A																
Academic Year 2015/16																
	£	£	£	£	£	£	£	£	£	£	£	£	£	£	£	£
Teaching Lines	University of South Wales	Aberystwyth University	Bangor University	Cardiff University	University of Wales Trinity Saint David	Swansea University	Cardiff Metropolitan University	Wrexham Glyndŵr University	Open University in Wales	University of Wales Registry	Bridgend College	Grŵp Llandrillo Menai	Gower College Swansea	Grŵp NPTC Group	Coleg Cymraeg Cenedlaethol	Total
Part-Time Fee Waiver	25,885	16,187	15	18,848	18,510	752	6,090	2,630	12,175			500				42,364
FTUG/PGCE	1,725,730	37,790	30,570	10,591,615	32,735	2,596,545	35,130	12,655		90	2,230		105			15,065,195
PT/PGT Teaching*	10,078,650	907,767	888,045	3,637,470	4,127,485	1,537,898	1,199,965	3,705,929	9,926,630			386,023	32,355	58,857		36,487,074
Total**	11,830,265	929,370	918,630	14,247,933	4,178,730	4,133,691	1,241,185	3,721,214	9,914,455	-	90	387,753	32,355	58,962	-	51,594,633
Research Lines	University of South Wales	Aberystwyth University	Bangor University	Cardiff University	University of Wales Trinity Saint David	Swansea University	Cardiff Metropolitan University	Wrexham Glyndŵr University	Open University in Wales	University of Wales Registry	Bridgend College	Grŵp Llandrillo Menai	Gower College Swansea	Grŵp NPTC Group	Coleg Cymraeg Cenedlaethol	Total
PGR	167,151	415,913	624,340	2,868,360	23,021	939,038	121,459	11,054								5,170,336
QR	1,530,192	7,010,422	7,218,736	39,796,996	298,936	13,749,083	1,065,119	214,955		192,904						71,077,343
Sêr Cymru			176,999	588,122		792,630										1,557,751
Welsh Crucible				15,000												15,000
History Research Wales						9,206										9,206
Total	1,697,343	7,426,335	8,020,075	43,268,478	321,957	15,471,545	1,186,578	226,009	-	192,904	-	-	-	-	-	77,811,224
Other Lines	University of South Wales	Aberystwyth University	Bangor University	Cardiff University	University of Wales Trinity Saint David	Swansea University	Cardiff Metropolitan University	Wrexham Glyndŵr University	Open University in Wales	University of Wales Registry	Bridgend College	Grŵp Llandrillo Menai	Gower College Swansea	Grŵp NPTC Group	Coleg Cymraeg Cenedlaethol	Total
International Initiatives		37,500														37,500
Reaching Wider	796,637		484,751	45,000		450,532										1,776,920
Strategic Development Fund	3,824,666				390,000	112,308		3,627,040								7,954,014
Subjects of Broader Importance	28,325		18,550			20,175										67,050
UHOVI PHASE 2	2,436,039															2,436,039
Welsh Medium Provision Fund															8,479,549	8,479,549
GO Wales		380			10,064	12,683										23,127
Sector Agency Transfer	401,392	207,353	229,008	1,161,440	114,078	347,224	93,396	87,710	57,513	6,526						2,705,640
Total	6,684,275	170,233	274,293	1,116,440	265,858	223,108	93,396	3,539,330	57,513	6,526	-	-	-	-	8,479,549	18,022,305
Non GIA Lines	University of South Wales	Aberystwyth University	Bangor University	Cardiff University	University of Wales Trinity Saint David	Swansea University	Cardiff Metropolitan University	Wrexham Glyndŵr University	Open University in Wales	University of Wales Registry	Bridgend College	Grŵp Llandrillo Menai	Gower College Swansea	Grŵp NPTC Group	Coleg Cymraeg Cenedlaethol	Total
CCC Scholarships															324,000	324,000
DBIS Match Funds		989,061	781,511	3,792,554		1,620,874										7,184,000
UK Research Partnership Investment Fund (UKRPIF)				2,608,395												2,608,395
Police Knowledge Fund				271,232												271,232
Total	-	989,061	781,511	6,672,181	-	1,620,874	-	-	-	-	-	-	-	-	324,000	10,387,627
Grand Total	***20,211,883	9,174,533	9,994,509	63,072,152	4,766,545	21,449,218	2,334,367	****7,486,553	9,856,942	186,378	90	387,753	32,355	58,962	8,803,549	157,815,789
<i>*OU Mitigation & Cardiff Compensation included in teaching.</i>																
<i>**Funding for ITT provision is shown at the delivering institution.</i>																
<i>***£400K of the grant to University of South Wales was repayable.</i>																
<i>****£3M of the grant to Wrexham Glyndŵr was repayable and repayment was made in May 2016.</i>																