
Higher Education Students Early Statistics Survey 2005/06

14 October 2005 **Ref: W05/59HE**

To: Heads of higher education institutions in
 Wales;
 Principals of directly funded further
 education colleges

Summary: This circular requires institutions to return
 aggregated student data for 2005/06

Response by: 18 November 2005

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INTRODUCTION

- 1 This Circular requests institutions to return aggregated student data to enable the Higher Education Funding Council for Wales (HEFCW) to:
 - a) make its allocation of funds for teaching to institutions for the academic year 2006/07;
 - b) have early indications of the number of students in the academic year 2005/06;
 - c) calculate fee compensation for ERASMUS/SOCRATES exchange year out registrations.

SURVEY DATA

- 2 Higher education institutions (HEIs) are requested to return numbers of all higher education (HE) students together with credit values. Further Education Institutions (FEIs) are requested to return numbers and credit values of HE students registered on prescribed HE courses (see Annex B) for which HEFCW funding has been made available directly.

MAIN CHANGES FOR 2005/06

- 3 The HESES 2005 survey is similar to the HESES 2004 survey. The main changes made since the HESES 2004 survey are:
 - a) the status of postgraduate research students writing up for a whole year has been clarified. (See Annex G, paragraph 2.)
 - b) for those students who do not take part in the required assessment for a year or module, but who, with agreement with the institution, are assessed after the end of the academic year, there is no longer a requirement to have auditable evidence of the student's intention to take part in the assessment. Instead, an estimate of such students and associated credit values that are completions should be included in the return. (See Annex G, paragraph 12 and Annex H, paragraph 18.)
 - c) guidance relating to repeat modules and years has been clarified. (See Annex G, paragraph 6 and Annex H, paragraph 9.)
 - d) a new table has been included in the survey. Table 2c, which collects information about part-time students on initial teacher training (ITT) courses leading to qualified teacher status (QTS), is **only** for completion by the Open University (OU) in Wales. Institutions other than the OU in Wales do not need to complete this table.
 - e) where data are of insufficient quality, HEFCW now reserves the right to use its own estimates. (See paragraph 9 below.)
 - f) if a deadline is missed by more than three working days, a letter will be faxed to the head of institution. This is a change from previous years when a letter was faxed one week after a missed deadline. (See paragraph 11 below.)
 - g) a hard copy of the tables is no longer requested. Completed workbooks should be returned by e-mail only.

CONTENTS

- 4 This Circular provides:
- a) notes of guidance on completion of the HESES 2005/06 survey;
 - b) definitions of the various categories used to classify students;
 - c) copies of the survey tables which will be distributed in Excel 2000 workbooks for institutions to complete and return.
- 5 The contents of the annexes are as follows:
- | | |
|---------|--|
| Annex A | Notes of Guidance |
| Annex B | Definition of a Recognised HE Qualification |
| Annex C | Definition of Residential Status and Eligibility for Mainstream Funding |
| Annex D | Definition of ASCs |
| Annex E | Definition of Mode of Study |
| Annex F | Definition of Level of Study |
| Annex G | Rules for Counting Registrations |
| Annex H | Rules for Counting Credit Values |
| Annex I | Table Descriptions |
| Annex J | Column Descriptions of the Tables |
| Annex K | HESA/HESES and HESA/EYM Mappings |
| Annex L | Workbook Notes |
| Annex M | Validation Checks |
| Annex N | Usage of Data by HEFCW |
| Annex O | Printed tables for completion by all institutions directly funded by HEFCW |
| Annex P | Printed tables for completion by HEIs receiving funding under phase 2 of the ITT New Innovative Provision Initiative |

SURVEY DATE

- 6 The HESES 2005 survey date is 1 November 2005. Institutions are required to notify student registrations for the whole year. Credit values should be returned split into those associated with student registrations up to and including 1 November 2005, and credit values associated with forecast new student registrations between 2 November 2005 and 31 July 2005. In addition, estimates of the numbers of credit values that will not be completed are required.
- 7 For the purpose of calculating the funding allocations for 2006/07, forecasts of credit values resulting from modules relating to students who are expected to register after 1 November 2005 will be added to those for students that are already registered. The numbers of credit values associated with modules from which students are forecast to withdraw will be deducted from the total.

RETURN OF DATA

- 8 Completed workbooks should be returned by e-mail to Rachael Gray at hestats@hefcw.ac.uk no later than **18 November 2005**.
- 9 Institutions are encouraged to begin to prepare their returns well before 18 November 2005 so that only final adjustments need to be made before dispatch of the completed return. It is important that returns are made on time if funding allocations for 2006/07 are to be determined by March 2006. **HEFCW reserves the right to enter its own estimates of student registrations and associated credit values for institutions**

which fail to return the survey on time or where data are considered to be of insufficient quality.

- 10 When data are returned, they go through a validation and credibility checking process. Once any subsequent queries have been resolved, data are sent out to institutions for verification. The process will follow the timetable outlined below.

18 November 2005 HESES return deadline
7 December 2005 HESES verifications sent to institutions
21 December 2005 HESES verifications return deadline

- 11 In the event of a deadline being missed by more than three working days, a letter will be faxed to the head of the institution concerned reminding them that HEFCW reserves the right to enter its own estimates of student registrations and associated credit values for institutions which fail to return the survey on time and indicating that HEFCW intends to do this if immediate action is not taken. Failure to return the data or verification report within two working days will result in a letter from the Chief Executive of HEFCW to the head of the institution stating the figures that will be used in place of the institution's own data.
- 12 Each subsequent deadline should be met regardless of any delay in meeting the preceding deadline.
- 13 Any queries about the return should be directed to Rachael Gray (telephone 029 2068 2243, e-mail hestats@hefcw.ac.uk).

NOTES OF GUIDANCE

- 1 These notes of guidance apply to all institutions which receive funding from HEFCW directly.

TABLES TO BE COMPLETED

- 2 There are two separate sets of tables to be completed by institutions. Copies of these are provided at Annexes O and P. Institutions need to complete the tables relating to the provision for which they are to be funded in 2006/07. The annexes relate to provision funded through:
 - the Council's mainstream funding (Annex O)
 - phase 2 of the ITT New Innovative Provision Initiative (Annex P).
- 3 Institutions will be sent the relevant tables in EXCEL 2000 workbooks by e-mail.
- 4 Descriptions of the tables are provided in Annex I.

CLASSIFICATION OF PROVISION

- 5 The survey collects information on both student registrations and associated credit values.
- 6 For student registrations, only those that are counted as completions as defined in Annex G are to be returned. They are classified according to residential and fundability status (Annex C), ASC (Annex D), mode of study (Annex E) and level of study (Annex F).
- 7 For credit values, data relating to home and EC fundable registrations are collected. The information is categorised into level of study, mode of study and ASC. Credit values are returned split into those associated with student registrations up to and including 1 November 2005 and credit values associated with forecast new student registrations between 2 November 2005 and 31 July 2006. The numbers of non-completed credits are also collected and the total assumed completed credit values are calculated in the workbook. Annex H contains guidance on returning credit values.
- 8 Annex K contains HESA/HESES and HESA/EYM mappings. These should be regarded as indicative not definitive.

FRANCHISED STUDENTS

- 9 The term 'franchise', also referred to as 'outreach', in HE in Wales, refers to an HE course taught at an institution (the franchisee) which is not directly in receipt of funding from HEFCW for that course, and for which quality assurance is provided by another Welsh institution (the franchisor). Students taught at institutions in Wales may be registered at the franchisee or franchisor institution. However, students registered at institutions outside Wales, with a Welsh institution providing quality assurance, are not included within the definition of franchised students and should **not** be included in the return. Institutions may only count students and associated credit values franchised to institutions outside Wales if the Council has been notified of these courses and has agreed to their inclusion.
- 10 Students taught on the basis of a franchise agreement, referred to as 'franchised out' students, should be included in the return by the franchisor as part of their numbers of registered students or credit values. Part-time undergraduate franchised out assumed completed registrations are included in Tables 4a, 4b and 5 as a separate category. Credit values relating to part-time franchised out students should also be returned as a subset of total completed

credit values in Table 1c, under the column headed 'Franchised Out Credit Values' (see Annex J).

JOINT COURSES

- 11 Students on courses which are run jointly by two or more institutions, and which are not the subject of a franchising arrangement, should be counted in proportion to the number of credits associated with the study at each institution.

PGCE ITT PROVISION

- 12 In determining their projections for enrolments to PGCE ITT (QTS) provision, relevant institutions should bear in mind the intake targets set by the Welsh Assembly Government (WAG). Institutions are reminded that WAG funding to institutions for Training Grants is allocated on the basis of numbers of students on these courses up to the intake targets (including any changes agreed subsequently by the WAG through their brokering service). In respect of the pilot PGCE (FE) teacher training incentive grant scheme, relevant institutions should have regard to the total numbers that this scheme can support.

DEFINITION OF A RECOGNISED HE QUALIFICATION

- 1 A recognised HE qualification is one which is awarded on the successful completion of a prescribed course of HE. Such qualifications include any postgraduate or undergraduate degree, including foundation degree, accredited HE diploma or HE certificate, including HND and HNC. Other professional or vocational qualifications may be included provided they are generally recognised as HE qualifications. Such qualifications, for example, college certificates, should only be included if they are validated by the University of Wales, the University of Glamorgan or are included (or awaiting inclusion) within the QCA framework.
- 2 Courses, modules or units which enable credit to be obtained towards recognised HE qualifications (as defined above) also fall within the definition of recognised HE courses for the purpose of this survey. This includes programmes or individual courses which include foundation study as an integral part of a longer programme which leads to, or provides credit towards, a recognised HE award, provided the student has registered for the longer programme.

DEFINITION OF RESIDENTIAL STATUS AND ELIGIBILITY FOR MAINSTREAM FUNDING

HOME AND EC STUDENTS

- 1 Home and EC students are those domiciled in the UK or EC (excluding those domiciled in the Channel Islands or the Isle of Man) or who are otherwise entitled to pay home fees, as defined in the Education (Fees and Awards) Regulations 1997 (SI 1997 No. 1972), as amended.
- 2 The Education (Fees and Awards) Regulations 1997 (SI 1997 No. 1972) can be found on the Office of Public Sector Information (OPSI) web-site, www.opsi.gov.uk, under 'Legislation', 'UK', 'Statutory Instruments'.
- 3 The EC expanded to include the following countries from 1 May 2004: Cyprus, Czech Republic, Estonia, Hungary, Latvia, Lithuania, Malta, Poland, Slovakia and Slovenia. These countries should be included as EC countries in determining the residential status of students.
- 4 Where reference is made to total home and EC students, this relates to the sum of those eligible and ineligible for mainstream funding as defined in paragraphs 5 and 6 below.

Eligible for Mainstream Funding – Home and EC Fundable

- 5 Home and EC students are eligible for mainstream funding unless their place is considered ineligible as described in paragraph 6 below. Where a course is self-financing but open (i.e. other candidates who are suitably qualified may be enrolled), then the additional students may be returned as eligible for mainstream funding.

Ineligible for Mainstream Funding – Home and EC Non-fundable

- 6 Home and EC students ineligible for mainstream funding are those who may pay a home fee but whose place is not considered eligible for HEFCW mainstream funding. This category covers those on full cost or self-financing courses where:
 - a) the student place is being funded from public sources other than HEFCW. For example, Department of Health, NHS, GEST, Home Office;
 - b) the student place is funded from private sources;
 - c) the students are postgraduate research students in departments rated below 3b in the 2001 RAE, unless rated 2 and the department was shown to be in receipt of Research Council, Arts and Humanities Research Board or British Academy studentships or grants in the 2001 RAE and the HEFCW has notified the institution that the students in the departments concerned are eligible for postgraduate research (PGR) funding;
 - d) the students are fundable under phase 2 of the ITT New Innovative Provision Initiative. Such students must be returned on the ITT New Innovative Provision Initiative tables only.

ISLAND AND OVERSEAS STUDENTS

- 7 Island and overseas students are those domiciled outside the UK or EC, or resident in the Channel Islands or the Isle of Man, who are not entitled to pay a home fee.

DEFINITION OF ASCs

- 1 JACS subject codes are used to assign ASCs. Below is a mapping from JACS code to ASCs. The guidance given in paragraphs 2 to 5 should be used to assign registrations to ASCs. The guidance given in paragraphs 6 to 8 should be used to assign credit values to ASCs.

ASC	Name	JACS Subject Codes	JACS Description
1	Clinical and Pre-clinical Subjects* 1a 1b 1c 1d	All A codes	Pre-clinical Medicine Clinical Medicine Pre-clinical Dentistry Clinical Dentistry
2	Subjects and Professions Allied to Medicine	B codes excluding: B2 L5 codes	Subjects Allied to Medicine (excluding Pharmacology, Toxicology and Pharmacy) Social Work
3	Science	All F codes All C codes All D codes B2 codes	Physical Sciences Biological Sciences Agriculture and Related Subjects Pharmacology, Toxicology and Pharmacy
4	Engineering and Technology	All H codes All J codes	Engineering Technologies
5	Built Environment	All K codes	Architecture, Building and Planning
6	Mathematical Sciences, IT and Computing	All G codes L140	Mathematical and Computer Sciences Econometrics
7	Business and Management	All N codes	Business and Administrative Studies
8	Social Sciences	All L codes excluding: L5, L140 All M codes	Social Studies (excluding Social Work and Econometrics) Law
9	Humanities	All P codes All Q codes All R and T codes All V codes W8	Mass Communications and Documentation Linguistics, Classics and Related Studies Languages and Related Studies Historical and Philosophical Studies Imaginative Writing
10	Art, Design and Performing Arts	All W codes excluding: W8	Creative Arts and Design (excluding Imaginative Writing)
11	Education* 11a ITT (QTS) 11b Non-QTS	Any ITT leading to QTS All X Codes	Any ITT leading to QTS Education

*split into sub-categories.

APPORTIONMENT OF STUDENT REGISTRATIONS BETWEEN ASCs

- 2 The distribution of student registrations between ASCs for all levels of study, including postgraduate research, returned in Table 5, depends on the assigned subject of qualification aim, with the following exceptions:

Initial Teacher Training

- 3 All undergraduate and postgraduate taught registrations on full-time, or part-time if at the OU in Wales, ITT courses leading to QTS must be wholly assigned to ASC 11a (Education ITT (QTS)) irrespective of their JACS codes. ASC 11a should contain all and only those students on courses of ITT for primary or secondary teachers which lead to QTS upon successful completion. Courses of ITT for teachers in adult and further education and in-service training for teachers should be recorded under ASC 11b (Education non-QTS).

Medicine and Dentistry

- 4 All full-time undergraduate registrations on courses in medicine and dentistry must be wholly assigned to ASC 1, irrespective of their JACS codes. Registrations on part-time and postgraduate courses in medicine and dentistry can be wholly or partly assigned to ASC 1. Courses other than medicine or dentistry should not be assigned to ASC 1, either in part or in whole.

Major/Minor or Balanced combinations

- 5 Where JACS codes indicate a major/minor or balanced combination of subjects split between two or more ASCs, the students should be allocated to the ASCs pro rata to the notional subject weightings and the resulting numbers must be rounded to whole numbers which, in total, show the correct number of student registrations.

APPORTIONMENT OF CREDIT VALUES BETWEEN ASCs

- 6 Full-time, sandwich and part-time registrations recorded on a credit value basis in Tables 1a and 1c are to be recorded according to the ASC of the subject taught in the course, module or unit and **not** the subject of the overall qualification aim of the students. This will generally correspond to the HESA module record JACS code for subject area of study - see Annex K.
- 7 In Table 1b, for sandwich year out students on placements or language years abroad, ASCs should be assigned in whichever ASC is considered most relevant.
- 8 Credit values relating to quota controlled subjects, ASC 11a full-time undergraduate and postgraduate taught, ASC 11a part-time postgraduate taught (at the OU in Wales only) and ASC 1 full-time undergraduate, must not be distributed across other ASCs; all should be returned in ASC 11a or ASC 1 as appropriate. Credit values relating to individual modules of courses other than medicine and dentistry or ITT (QTS) courses should not be assigned to ASC 1 or ASC 11a.

DEFINITION OF MODE OF STUDY**FULL-TIME**

- 1 Students are classified as registered for full-time study if:
 - they are registered at an educational establishment;
 - they are pursuing studies;
 - they are normally required to attend (whether at premises of the establishment or otherwise) for periods of a minimum of 24 weeks; and
 - a whole full-time fee is chargeable for the current year of the programme of study; or
 - they are in the final year of a full-time course in which they attend for less than 24 weeks.
- 2 This includes all full-time, sandwich, and language year abroad students other than those falling within the definition of 'Full-time Sandwich Year Out' below.

FULL-TIME SANDWICH YEAR OUT

- 3 Students are classified as registered for sandwich year out study if:
 - they are registered at an educational establishment;
 - they are pursuing studies where their course falls within the definition of sandwich provided in Regulation 2(6) of the Education (Student Support) Regulations 2005 (SI 2005 No. 52) as amended, or they are full-time on a language year abroad; and
 - in the current academic year, the fees chargeable are those described in paragraphs c), e) or f) of regulation 12(2) of the above regulations, or approximately half the whole full-time fees that would otherwise be charged if the student were classified as full-time.

PART-TIME

- 4 Students are classified as registered for part-time study if:
 - they are registered at an educational establishment;
 - they are pursuing studies; and
 - they do not meet the requirements to be either full-time or full-time sandwich year out.
- 5 Mainstreamed Continuing Education (CE) Students should be classified as part-time.

DEFINITION OF LEVEL OF STUDY

- 1 For the purpose of this survey, credit values should be allocated to the level of study of the overall qualification aim of the student.

UNDERGRADUATE DEGREE

- 2 Undergraduate degree students are those aiming for a first degree.

UNDERGRADUATE NON-DEGREE

- 3 Undergraduate non-degree is defined as all undergraduate level courses which lead to a qualification other than a first degree. In general, such courses will be the equivalent of up to two years' full-time study, but the length of the course is not a defining factor when classifying courses as degree or non-degree. The relevant factor is whether the course enables the student to achieve a first degree or some other qualification - it is the latter which are classified as non-degree. Students on all programmes below first degree level should be classified as undergraduate non-degree even if the title of the qualification includes the word 'degree'. In particular, foundation degrees should be classified as non-degree.
- 4 Both full-time and part-time courses leading to certificates for the teaching of further education, returned in ASC 11b, should be treated as undergraduate courses regardless of the assigned HESA qualification aim code.

POSTGRADUATE

- 5 Postgraduate students are those on courses which require as a normal condition of entry that entrants be already qualified at degree level. There are two groups of postgraduate, postgraduate taught and postgraduate research.

Postgraduate Taught

- 6 Postgraduate taught students are those attending courses which are mainly taught even though part of the course may include a dissertation. It includes all students on postgraduate degrees which are not mainly by research.
- 7 PGCE courses are to be included as taught postgraduate courses provided that they lead to QTS.

Postgraduate Research

- 8 Postgraduate research students are those attending courses which are mainly research although these may contain some formal teaching.

RULES FOR COUNTING REGISTRATIONS

- 1 Only students who meet the following criteria are to be included in this return:
 - a) they are registered. A registration is considered as a binding undertaking to pay a fee to an institution (unless the fee has been waived) as opposed to an acceptance of a place;
 - b) they are aiming to obtain a recognised HE qualification as defined in Annex B;
 - c) they are studying at least 3 per cent of a full-time equivalent (FTE), or approximately one week of study.

- 2 Included are:
 - a) new enrolments;
 - b) students re-registering for second or subsequent years of their study;
 - c) students on non-accredited HE courses only where the Council has been notified of these courses and has agreed to their inclusion;
 - d) students registered at FEIs, who are pursuing prescribed HE qualifications as defined in Annex B, only if their courses are eligible for mainstream funding from HEFCW either directly, or, through a franchise/outreach agreement (data to be returned only by the institution which franchises out the course);
 - e) out-going exchange students, including SOCRATES/ERASMUS students.

Excluded are:

 - a) incoming exchange students, including SOCRATES/ERASMUS, TEMPUS or Junior Year Abroad (JYA) students;
 - b) PGR students whose only activity during the year being counted is writing up a thesis or similar piece of work.

- 3 No full-time or sandwich student should be counted twice in the same academic year; nor should full-time or sandwich students who are registered for the main and an additional qualification offered within a single course be counted twice. However, students who are registered for two separate courses, where one is full-time or sandwich, and the other is part-time, may be included in Tables 4a, 4b and 5 under both full-time or sandwich and part-time. The corresponding credit values can be returned in Tables 1a or 1b, and Table 1c. For these purposes, a part-time course should be considered to be separate if the ability to follow that course is not dependent on concurrent enrolment on the full-time course and the student continues to meet all of the study requirements of the main course without increasing the length of the main course. For both full-time and part-time courses which lead to more than one qualification, only a single registration should be returned.

- 4 Those students who are following programmes of study which do not coincide with the academic year (1 August to 31 July) should be counted once only for each period of up to 12 months of study. They should normally be counted in the year in which the first registration occurs and in the years including the anniversaries of the first registration.

- 5 Full-time programmes in which the final year does not fit the usual criterion to be full-time in terms of length, i.e. the final year is less than 24 weeks, but the student has not changed their mode of study, should be returned as full-time for the whole of the programme. See examples i) and vi) below.

- 6 Where students repeat a full year on a full-time basis, and have not progressed to the next year of study, they should be counted as a full-time student. Where a student repeats a year or part of a year on a part-time basis, and there has been no progression to the next year of the course, the student should be returned as a part-time student. In both cases, the total length of their course will increase by one year.

- 7 Institutions are asked to identify the number of registered students who complete the year of study. This is all eligible students who are registered or expected to register within the academic year minus those who are expected to not complete. Any transfers between ASCs, modes or levels of study should be included. Paragraphs 8 to 15 below describe those registrations that should be considered non-completions.

Non-completions

- 8 Non-completions occur where students do not complete their studies due to withdrawal, dropout, or failure to complete the year of study or take part in required assessment procedures. A registered student should generally be considered to be actively pursuing studies unless the institution has been formally notified of the student's withdrawal from the course. However, non-attendance for examinations generally indicates a student's failure to complete the year of study.
- 9 Completion status is determined on a year of study basis, not on a course basis. For example, a first degree student who takes all assessments required for the first year is counted as a completion for that year.
- 10 Students interrupting their studies for the remainder of the year of study for personal reasons are included in the definition of non-completions.
- 11 Students who sit examinations at the end of the course or year of study and fail them are deemed to have completed their studies and should **not** be included as non-completions.
- 12 Students who do not take part in all or some of the required assessment procedures for the year of study, can complete by being assessed after the end of the academic year in order to enable them to progress to the next year or graduate. This will be, for example, where a student who did not sit the original examination within the year because of illness, takes a resit examination that takes place after the end of the academic year or where a student will be handing in coursework after the end of the academic year, by agreement with the institution. Estimates of the number of registrations expected to complete in this way should be included in the number of completions returned in the tables.
- 13 At the return date of HESES, the number of students registered or expected to register that will not complete will have to be estimated. In general, estimates should be based on the proportion of non-completions in the previous year or years, or for new provision, information about similar provision in the first year of delivery. However, other methods may be used if institutions believe these would provide more accurate estimates. It is not expected that institutions will assess whether each individual will complete, rather that a robust method will be used in calculating estimates. It is expected that institutions will examine the data returned on the end of year monitoring of higher education enrolments (EYM) survey and the HESES survey in previous years to determine if estimates made at HESES were reasonable, and if necessary adjust their methods.
- 14 Some non-completions can be included as partial completions, and these are described in paragraph 15 below. Where registrations fall into the definition of partial completions, they should be included in the total returned as 0.5 of a completion.
- 15 For **full-time taught** courses only, registrations are considered as having partially completed in the following cases:
- a) *Where the course is semesterised, if*
- individual modules are presented on a semester basis; and
 - the student has not withdrawn before the end of the first semester; and
 - the student has taken part in all assessment procedures required for the modules pursued that take place during or immediately after the end of the semester;

then, even if there is additional assessment of the work undertaken during the semester that falls at the end of the year of study, the student can be counted as a partial completion.

- b) *Where the course is not semesterised, if*
- the student has not withdrawn in the first four months of attendance; and
 - the student has taken part in all assessment procedures required for all modules started in the first four months, that take place during the first four months; and
 - there is auditable evidence to show that the student was still in attendance at the end of the four months;
- then, even if there is additional assessment of the work undertaken during the first four months that takes place at the end of the year of study, the student can be counted as a partial completion.

NEW ENTRANTS

- 16 A key predictor of the size of the HE sector for the future is the number of registrations starting an HE qualification. New entrants are defined for this survey as students registered for the first (or only) year of a prescribed HE course leading to a recognised HE qualification. This includes:
- students undertaking a foundation year (year 0) as an integrated part of the HE course;
 - students re-taking the first year of a course;
 - students transferring between courses, if they are registered as first year students.

Not included as new entrants are:

- students who have already completed an integral foundation year (year 0), unless they transfer to the first year of a new course;
 - students entering directly into year 2 or subsequent years of a course;
 - students who have completed an HND or foundation degree who take a top-up year to study for a degree.
- 17 The definition includes all levels and modes of study. If a student is on a course such that the first year of the course spans two academic years, they should only be counted as a new entrant in the academic year containing the start of the first year of their programme of study.

EXAMPLES

- 18 Below are some examples of how registrations should be returned. Examples iv), v) and x) show how non-completion and transfer rates may be estimated.
- A student enrolls on a full-time undergraduate course that starts on 1 October 2004 and finishes on 31 January 2006. He should be included in HESES/EYM 2004/05 as a full-time registration; and as a full-time registration again in HESES/EYM 2005/06 as even though he attends for less than 24 weeks, he has not changed his mode of study and is still registered as a full-time student.
 - A student enrolls for a full-time PhD in April 2004 and completes the programme in March 2007. She should be counted as a registration in HESES/EYM 2003/04, 2004/05 and 2005/06. She is counted three times, once for each 12 month period of full-time study, in the academic year in which she first registered and in the two subsequent academic years containing the anniversary of the first registration.
 - A student enrolls for a semesterised full-time undergraduate course that normally starts on 1 October 2005 and finishes on 30 June 2007. She completes the first semester of the first year but drops out in the second semester due to personal reasons. She rejoins the course in the 2006/07 academic year and completes the whole of the first year, and then goes on to complete the second year of the course in the 2007/08 academic year. She should be

returned in HESES 2005/06 as 0.5 of a full-time completed registration in Tables 4a, 4b and 5. In 2006/07 she should be returned on Tables 4a, 4b and 5 as a full-time registration, and in 2007/08 she should be returned on Tables 4a and 5 as a full-time registration.

- iv) 45 students enrol for a full-time undergraduate degree course in mathematics that starts on 1 October 2005 and finishes on 1 July 2008 (cohort 2). In the previous year, 51 students enrolled on the course (cohort 1). Of the 51 students, 3 changed subject in November, to study physics instead of mathematics, and 1 withdrew from the course in December. For cohort 2, on Tables 4a, 4b and 5 of HESES 2005/06, assumed completed registrations in mathematics would be 41, calculated as $45 - 3$ (i.e. $3/51 \times 45$) $- 1$ (i.e. $1/51 \times 45$) = 41. 3 registrations would be added to those already included for physics and 1 registration would not be included on any of the tables.
- v) 15 students enrol for a full-time HND in engineering that starts on 5 October 2005 and finishes on 1 July 2007. Based on data from previous years, 2 are estimated to withdraw in the first year of study. Therefore, 13 assumed completed full-time registrations are returned on HESES 2005/06. The actual number of withdrawals was 1, so 14 assumed completed registrations are returned on EYM 2005/06. Two of the 14 students who completed the first year of the full-time course decide to change their mode of study to part-time for the final half of the course. Therefore, on HESES 2006/07, 12 assumed completed registrations are returned as full-time, and 2 assumed completed registrations are returned as part-time, along with the registrations already on the part-time course.
- vi) A student enrolls for a full-time 30 month course that starts on 1 February 2006 and finishes on 31 July 2008. He should be included as a full-time registration in Tables 4a, 4b and 5 on HESES 2005/06, and again as a full-time registration in Tables 4a and 5 on HESES 2006/07 and HESES 2007/08.
- vii) A student starts a full-time HND course in 2005 and completes the course having gained an HND in 2007. He then goes on to do a top-up year in order to gain a degree and completes this in 2008. He would be counted as an undergraduate non-degree new entrant in Table 4b on HESES 2005/06 only. He would not be counted as a new entrant on the degree course as he did not enter the first year of the degree course.
- viii) An institution has 10 home and EC postgraduate research students, 3 in ASC 3, and 7 in ASC 4. In the 2001 RAE, the department that the students in ASC 3 are in was in a unit of assessment rated 2, and the department that the students in ASC 4 are in was in a unit of assessment rated 4. The students in ASC 3 would be returned as non-fundable, whilst those in ASC 4 would be returned as fundable.
- ix) A student enrolls for a degree course starting in October 2002 and completes the course in July 2005. He carries on to study for a one year postgraduate course starting in October 2005 and completes the course in September 2006. He would be counted as an undergraduate degree new entrant on HESES 2002/03 and a postgraduate new entrant on HESES 2005/06.
- x) 10 students enrol for an undergraduate diploma starting in April 2006 and finishing in March 2007. In the previous year, out of 15 students starting in April 2005, 2 dropped out in September 2005. 9 assumed completed registrations would be returned on Tables 4a, 4b and 5 of HESES 2005/06, calculated as $10 - 1$ (i.e. $2/15 \times 10$).
- xi) 23 students enrol for a full-time first degree course. 2 students fail the first year and do not progress onto the second year of the course, instead, they repeat the whole of their first year on a full-time basis. They would be returned as a registration four times, twice for the first year of their course and once each for the second and third years of the course.
- xii) 57 students enrol for a full-time first degree course starting in October 2004. 2 students fail the first year. The first student failed 2 modules out of a total of 10 modules and so was eligible to progress to the second year providing the 2 failed modules were retaken alongside the second year modules. This student would be returned as a full-time student

three times, once for the first year of the course in 2004/05, once for the second year of the course in 2005/06 and once for the third year of the course in 2006/07. The second student failed 5 of the 10 modules taken in the first year and did not progress to the second year of the course. He retook the 5 failed first year modules on a part-time basis in the 2005/06 academic year with the intention of resuming full-time study for the second year of the course in 2006/07. He would be returned as a full-time student for the first year of the course in 2004/05, as a part-time student in his second year at the institution in 2005/06, and as a full-time student in the second and third years of the course (in his third and fourth year at the institution) in 2006/07 and 2007/08.

RULES FOR COUNTING CREDIT VALUES

- 1 Only credit values associated with modules, units or courses relating to registrations that are eligible to be counted as defined in Annex G, that are home and EC fundable, should be included in this return.
- 2 Credit values are used as the volume measure for funding all undergraduate and postgraduate taught provision. The numbers of credit values associated with enrolments are calculated by multiplying the total number of enrolments on each module, unit or course by the number of achievable credit values associated with the module, unit or course. For example, if 50 students are registered on a module from which 10 credit values may be achieved, the number of credit values to be recorded is 500. Credit values to be recorded are the credit values associated with the module, unit or course being pursued, not those successfully achieved.
- 3 All credit based data returned should conform to the Credit and Qualifications Framework for Wales (CQFW), except that the level of study should be that of the overall qualification aim and not that of the unit or module, if different. Institutions must not record more than the maximum permitted number of fundable credit values, as shown in paragraph 12 below, for each student over the course as a whole, unless a year of study or part of a year of study is repeated where a student has not progressed to the next year of study (see paragraph 9 below).
- 4 Where an institution has not formally allocated CQFW credit values to elements of its programmes, then notional credit values should be returned on the basis of the CQFW standard. This is 120 credit values for one academic year of HE experience for full-time undergraduates, apart from HNCs, for which the standard is 150 credit values; 180 credit values for postgraduates with one full 12 month year of HE, for example, an MSc with dissertation; and 120 credit values for postgraduate courses of less than a full 12 months, for example, a postgraduate diploma without a dissertation. In this context, it will be helpful to note the CQFW definition of the study year in terms of notional learning time: 1200 hours for undergraduates (academic year); 1800 hours for postgraduates (12 month year). (See CQFW Credit Specification and Guidance, paragraph 32.)
- 5 Each module or unit must be assigned to the academic year in which the module or unit started, and all credit values associated with that module or unit shown in HESES in that academic year only. In this way each credit value will be counted once only, even if the module or unit spans two academic years.
- 6 Each credit value should be ascribed to the ASC of the subject taught in the module or unit rather than the subject of the qualification aim of the student. For example, a mathematics module for business students will generally be ascribed to ASC 6, Mathematical Sciences, IT and Computing, not ASC 7, Business and Management. The ASC identified will generally correspond to the HESA module record JACS code for the subject area of study - see Annex D. However, the level of study of the credit should be assumed to be that of the qualification aim of the student.
- 7 If a course is not yet modularised, notional credit values based on the maximum numbers that can be claimed over the course as a whole should be returned, held in the ASC of the course.
- 8 Only modules essential for the award of the qualification should be counted. Optional or elective modules not essential to the award of the qualification must **not** be counted.
- 9 Where students repeat a year on a full-time or part-time basis, the length of the course is effectively increased and the maximum number of funded credit values increases accordingly (for example, a 3 year degree with a repeat year becomes a 4 year degree equivalent to 480 fundable credit values). Credit values associated with students repeating a full year on a full-time basis, where the student has not progressed to the next year of study, should be counted. Similarly, credit values associated with students repeating a semester or part of the year on a

part-time basis who have not progressed to the next year of study and are not taking any modules relating to the next year of study, should be counted. However, credit values associated with repeat modules where the student has progressed to the next year of study must **not** be counted, unless the credit values associated with the module were counted as not completed in the previous year. In such cases, where credit values associated with a repeat module are counted, the maximum number of credit values over the whole course should not exceed those listed in paragraph 12. See examples viii) and ix) below.

- 10 Sandwich year out modules should be recorded as 120 credit values. The numbers shown will be reduced in the funding model by multiplying by 0.5.
- 11 Where a student studying for a full-time course is also studying for a part-time course which is eligible to be counted, as described in paragraph 3 of Annex G, then the credit values relating to modules of the part-time course should also be counted.
- 12 The total numbers of credit values recorded as fundable for each course should not exceed the following:

Course	Fundable Credit Values
Professional doctorate	540
4 year degree (including integrated Master's)	480
3 year degree, plus sandwich year out (as returned on HESES/EYM)	480 (to include SW as 120 credits)
3 year degree, plus sandwich year out (as used for funding purposes)	420 (HEFCW to apply 0.5 weighting for SW year out)
3 year degree	360
Foundation degree (with conversion module(s))	240 (+36)
HND	240
HNC	150
Master's degree with dissertation	180
Cert HE	120
Postgraduate diploma	120
Most minor qualifications	60

Postgraduate Taught Courses with Dissertations

- 13 Credit values relating to the dissertation element of full-time postgraduate taught courses should be returned on the full-time credit values table only.
- 14 Where the dissertation part of the postgraduate taught course starts in the same academic year as the course started, all credit values associated with the course should be returned within that academic year. For example, if a one year full-time postgraduate taught course starts in September 2005 and finishes in August 2006, and the dissertation associated with that course is started in June 2006, then a student on the course will be returned as a full-time registration on Tables 4a, 4b and 5 of HESES 2005/06 and 180 credit values will be returned on Table 1a of HESES 2005/06.
- 15 If the dissertation is started in the academic year following that in which the course was started, the credit values associated with the dissertation should be returned in the academic year in which the dissertation was started. For example, if a one year full-time postgraduate taught course starts in October 2005 and finishes in October 2006 and the dissertation associated with the course is started in August 2006, then a student on the course will be returned as a full-time registration on Tables 4a, 4b and 5 of HESES 2005/06, 120 credit values will be returned on Table 1a of HESES 2005/06, and 60 credit values, those associated with the dissertation, will be returned on Table 1a of HESES 2006/07. The student will **not** be returned as a registration on HESES 2006/07.

- 16 Students on one year full-time postgraduate taught courses with a dissertation should always be included as one full-time registration only with up to 180 credit values in total for the course, irrespective of when the dissertation is started.

Non-completions

- 17 Where a student withdraws or drops out having completed some modules or units, only the credit values associated with those modules or units that were not completed should be included as non-completions. Credit values associated with the completed modules or units should **not** be included as non-completions. Paragraph 20 below describes how some non-completions may be returned as partial completions.
- 18 Credit values associated with students who do not take part in all or some of the required assessment procedures for a module or unit for the year of study, can be counted as completed if the student is assessed after the end of the academic year in order to enable the student to progress to the next year or graduate. This will be, for example, where a student who did not sit the original examination for the module or unit within the year, because of illness, takes a resit examination that takes place after the end of the academic year or where a student will be handing in coursework for the module or unit after the end of the academic year, by agreement with the institution. The number of credit values expected to be completed in this way should not be included in the number of non-completions returned in the tables.
- 19 At the return date of HESES, the number of credit values not completed will have to be estimated. In general, estimates should be based on the proportion of non-completed credit values in the previous year or years, or for new provision, information about similar provision in the first year of delivery. However, other methods may be used if institutions believe these would provide more accurate estimates. It is not expected that institutions will assess whether each individual will complete a module or unit, rather that a robust method will be used in calculating estimates. It is expected that institutions will examine the data returned on the EYM survey and the HESES survey in previous years to determine if estimates made at HESES were reasonable, and if necessary adjust their methods.
- 20 For **full-time taught** courses only, credit values for non-completed modules may be returned as partially completed in the following cases:
- a) *Where the course is semesterised, if*
- all assessments due for the module during the first semester have been undertaken;
- then, even if there is additional assessment for the module at the end of the year of study, credit values relating to the module for the first semester can be counted as completed.
- b) *Where the course is not semesterised, if*
- all assessments due for the module in the first four months of attendance have been undertaken; and
 - there is auditable evidence that the student was still in attendance at the end of the four months;
- then, even if there is additional assessment for the module at the end of the year of study, half the credit values associated with the module can be returned as completed.

EXAMPLES

- 21 Below are some examples of how credit values should be returned. Examples v), vi) and vii) show how non-completion and transfer rates may be estimated.
- i) A student enrolls for a part-time postgraduate diploma course on 1 October 2004 and completes his programme on 30 September 2006. The number of credit values associated with modules/units commenced in the period 1 October 2004 to 31 July 2005 would appear in HESES/EYM 2004/05; the credit values associated with modules/units commenced in the

period 1 August 2005 to 31 July 2006 would appear in HESES/EYM 2005/06; and those associated with modules/units commencing in the period 1 August 2006 to 30 September 2006 (if any) would appear in HESES/EYM 2006/07.

- ii) A student enrolls for a part-time course on 1 June 2006 and completes the programme on 31 May 2008. The credit values to be recorded in HESES/EYM 2005/06 would be those associated with modules/units commenced between 1 June 2006 and 31 July 2006 and would be recorded in column 2 of Table 1c. Those relating to modules/units commencing between 1 August 2006 and 31 July 2007 would be recorded in column 1 of Table 1c of HESES/EYM 2006/07; and those relating to modules/units started between 1 August 2005 and 31 May 2008 would be recorded in column 1 of Table 1c of HESES/EYM 2007/08.
- iii) A student enrolls for a full-time HND on 1 October 2005 and finishes on 30 June 2007. All credit values associated with modules/units started in the period 1 October 2005 to 31 July 2006 would be recorded in HESES/EYM 2005/06 and all the credit values associated with modules/units started in the period 1 August 2006 to 30 June 2007 would be recorded in HESES/EYM 2006/07. The overall total number of fundable credit values for the course as a whole recorded in HESES/EYM 2005/06 and 2006/07 should not exceed 240 credit values.
- iv) A student enrolls on a full-time one year undergraduate diploma starting in April 2006, leading to 120 credit values in total, with 70 credit values relating to modules started before 1 August 2006, and 50 credit values relating to modules started on or after 1 August 2006. He would be returned as one full-time registration in Tables 4a, 4b and 5 of HESES 2005/06. The 70 credit values associated with modules started in the 2005/06 academic year would be returned in column 2 of Table 1a of HESES 2005/06 and the 50 credit values associated with the modules/units started in the 2006/07 academic year would be shown in column 1 of Table 1a of HESES 2006/07. He has been returned as one full-time registration, with 120 credits in total.
- v) 30 students enrol for a full-time undergraduate degree course in chemistry (ASC 3) that starts on 1 October 2005 and finishes on 1 July 2008. Based on previous year's data, 2 of the students are estimated to change course to chemical engineering (ASC 4) and 1 student is estimated to drop out halfway through the year. The modules that the students take in the first year are year-long and count for 120 credit values in total. In column 1 of Table 1a, $30 \times 120 = 3,600$ credit values are returned in ASC 3. In column 2, $2 \times 120 = 240$ credit values are returned as a negative number in ASC 3, and $2 \times 120 = 240$ credit values are returned in ASC 4. In column 3, 60 credit values are returned in ASC 3. The total assumed completed credit values associated with these 30 students would be 3,300 in ASC 3 and 240 in ASC 4.
- vi) 40 students registered for a full-time economics degree course enrol on a year long econometrics module (ASC 6). Based on the previous year's data, it is estimated that 6 will transfer to a social science module (ASC 8) after 1 November, while remaining on the same economics degree course. Each module is worth 30 credit values. 1,200 credit values would be shown in ASC 6 in column 1 of Table 1a, 180 credit values would be shown in ASC 8 in column 2 of Table 1a and 180 credit values would be shown in ASC 6 in column 2 of ASC 6 as a negative number.
- vii) 10 students enrol for a part-time distance learning course in English starting in May 2006. The first module of the course, which is worth 20 credit values, starts in May 2006 and finishes in October 2006. In the previous year, out of 12 students starting the equivalent module, 1 withdrew from the module in June and 2 withdrew from the module in August, having taken no assessment for the module. In HESES 2005/06, in column 2 of Table 1c, $10 \times 20 = 200$ credit values are returned in ASC 9. In column 3, an estimate of the number of credit values not completed, based on previous years data, calculated as $\frac{3}{12} \times 10 \times 20 = 50$, is returned in ASC 9. The total number of assumed credit values for the module, calculated in column 4, would be 150.
- viii) 57 students enrol for a full-time first degree course in October 2005. 1 student failed 3 out of 6 modules taken in the first year and did not progress to the second year of the course. All modules were worth 20 credits each. The student retook the 3 failed first year modules in

the 2006/07 academic year on a part-time basis with the intention of eventually resuming full-time study for the second year of the course. In the first year of the course, 120 completed credit values would be returned on Table 1a of HESES 2005/06 for the student. For the year in which the student repeated the 3 failed modules, 60 credit values would be returned, on Table 1c of HESES 2006/07. For the second year of the course (the student's third year at the institution), 120 credit values would be returned on Table 1a of HESES 2007/08. For the third year of the course (the student's fourth year at the institution), 120 credit values would be returned on HESES 2008/09. The number of credit values returned in total for this student over the whole course, which has taken the equivalent of 3.5 full-time years, would be 420.

TABLE DESCRIPTIONS
DIRECTLY FUNDED HEIs AND FEIs

- 1 Directly funded provision includes provision at FEIs formerly funded through the HE in FE initiative and provision at HEIs formerly funded through the phase 1 of the ITT New Innovative Provision Initiative. Provision funded through phase 2 of the ITT New Innovative Provision Initiative should be returned on Tables 1T to 3T only and excluded from Tables 1 to 6.
- 2 In all tables, franchised out students and associated credit values should be returned by the franchisor only (see Annex A, paragraphs 9 and 10).
- 3 Tables 1a, 1b and 1c correspond to the three categories of mode of study defined in Annex E. These tables are mutually exclusive and together should sum to the total number of credit values associated with home and EC fundable registrations for the academic year 2005/06. Credit values are required by ASC and level of study.

Table 1a	Full-time home and EC fundable registrations for the academic year 2005/06 in terms of the credit values associated with the modules, units or courses started in the current academic year.
Table 1b	Sandwich year out home and EC fundable registrations for the academic year 2005/06 in terms of credit values.
Table 1c	Part-time home and EC fundable registrations for the academic year 2005/06 in terms of the credit values associated with the modules, units or courses started in the current academic year, including credit values associated with CE students, Community University of North Wales students and students on Ufi courses.
- 4 Tables 2a and 2b collect information about full-time ITT (QTS) registrations between 1 August 2005 and 1 November 2005 inclusive.

Table 2a	Home and EC fundable registrations in ASC 11a broken down by level of study and subject of study, together with additional columns for new entrants and numbers gaining QTS. Within the 24 secondary subjects it should be noted that: <ol style="list-style-type: none"> i. Mathematics includes Statistics; ii. Physical Education includes Movement Studies and Dance.
Table 2b	Home and EC fundable undergraduate registrations in ASC 11a from column 1 of Table 2a within Primary, Secondary Shortage and Secondary Non-shortage Education. Data are collected for each year of the course. Registrations on 2 year, 3 year and 4 year courses should be identified separately for each year of the course.
- 5 Table 2c collects information about part-time ITT (QTS) registrations and associated credit values for the academic year 2005/06. This table is to be completed only by the OU in Wales.

Table 2c (registrations) Home and EC fundable registrations in ASC 11a broken down by level of study and subject of study, together with additional columns for new entrants and numbers gaining QTS.

Table 2c (credit values) Home and EC fundable credit values in ASC 11a broken down by level of study and subject of study, together with an additional column for credit values associated with new entrants.

Within the 24 secondary subjects it should be noted that:

- i. Mathematics includes Statistics;
- ii. Physical Education includes Movement Studies and Dance.

6 Table 3 requests information on assumed completed medicine and dentistry registrations.

Table 3 Completed home and EC fundable full-time undergraduate medicine and dentistry (ASC 1) registrations for the academic year 2005/06, by year of the course. The data returned here must, in total, match the data returned in column 1a of Table 5 in ASC 1.

7 Tables 4a and 4b request information on all assumed completed registrations.

Table 4a Completed student registrations for the academic year 2005/06 by level of study, mode of study and residential status and eligibility for mainstream funding.

Table 4b Completed student registrations who are new entrants for the academic year 2005/06 by level of study, mode of study and residential status and eligibility for mainstream funding. The data returned here are a subset of those returned in Table 4a.

8 Table 5 requests information on assumed completed home and EC fundable registrations.

Table 5 Completed home and EC fundable student registrations for the academic year 2005/06 by level of study, mode of study and ASC. The data returned here must, in total, match the data returned in columns 1a, 2a and 3a of Table 4a, by level of study, for full-time, sandwich year out and part-time study respectively.

9 Table 6 requests information on assumed completed home and EC fundable exchange year out SOCRATES/ERASMUS registrations.

Table 6 Completed home and EC fundable full-time undergraduate degree SOCRATES/ERASMUS registrations, on a whole year exchange out. The data returned here are a subset of those returned in Table 5.

HEIs RECEIVING FUNDING UNDER PHASE 2 OF THE ITT NEW INNOVATIVE PROVISION INITIATIVE

10 Tables 1T and 2T request credit values relating to home and EC fundable registrations on phase 2 of the ITT New Innovative Provision Initiative, by course title. Table 3T requests information relating to all assumed completed registrations by mode of study, level of study and eligibility for initiative funding. Registrations and credit values funded under this initiative should not be included in Tables 1 to 6.

Table 1T	Full-time home and EC fundable registrations on courses funded under phase 2 of the ITT New Innovative Provision Initiative for the academic year 2005/06 in terms of the credit values associated with the modules, units or courses that comprise the current year of the course.
Table 2T	Part-time home and EC fundable registrations on courses funded under phase 2 of the ITT New Innovative Provision Initiative for the academic year 2005/06 in terms of the credit values associated with the modules, units or courses that comprise the current year of the course.
Table 3T	All completed registrations on courses funded under phase 2 of the ITT New Innovative Provision Initiative for the academic year 2005/06 by level of study, mode of study and eligibility for initiative funding.

COLUMN DESCRIPTIONS OF THE TABLES

DIRECTLY FUNDED HEIs AND FEIs

- 1 Directly funded provision includes provision at FEIs formerly funded through the HE in FE initiative and provision at HEIs formerly funded through phase 1 of the ITT New Innovative Provision Initiative. Provision funded through phase 2 of the ITT New Innovative Provision Initiative should be returned on Tables 1T to 3T only and excluded from Tables 1 to 6.
- 2 In all tables, franchised out students and associated credit values should be returned by the franchisor only (see Annex A, paragraphs 9 and 10) and included in all relevant columns.
- 3 Data returned in Tables 1a, 1b, 1c, 2a, 2b, 2c and 6 must be whole numbers. Data returned in Tables 3, 4a, 4b and 5 may be returned to one decimal place, where partial completions are included as 0.5 of a completion. However, institutions should note that where there has been apportionment of registrations between ASCs, figures must be rounded to whole numbers as described in Annex D, paragraph 5.

Tables 1a, 1b and 1c – Full-time, Sandwich Year Out and Part-time Credit Values

- 4 These tables request data on credit values for home and EC fundable undergraduate and postgraduate taught students, but not postgraduate research students. The credit values should be returned in accordance with the guidelines set out in Annex H. Note that some students excluded from Tables 4a, 4b and 5 as non-completions may be shown as completing modules or credit values in Tables 1a, 1b and 1c. Similarly, final year students on non-standard academic year courses may be excluded from Tables 4a, 4b and 5 but credit values for modules relating to those students may be recorded in Tables 1a, 1b and 1c.
- 5 Each table has four principal columns.
- 6 **Column 1:** Numbers of credit values arising from registrations on modules, units or courses between 1 August 2005 and 1 November 2005 inclusive. Figures should be net of all known transfers, withdrawals and dropouts occurring up to 1 November 2005.
- 7 **Column 2:** Predicted numbers of credit values arising from forecast new registrations on modules, units or courses after 1 November 2005. Figures recorded here should be predictions after allowance for transfers after 1 November 2005.
- 8 **Column 3:** Estimated numbers of credit values associated with non-completions of modules, units or courses, after 1 November 2005. Credit values returned in column 3 are a subset of those returned in columns 1 and 2. Non-completions are defined in Annex H, paragraphs 17 to 20.
- 9 **Column 4:** This shows the overall assumed completed credit values to be used in the calculations of the main teaching funding arising from registrations on modules, units or courses for the academic year 2005/06. It is the sum of columns 1 and 2, minus column 3. The calculation is done automatically in the spreadsheet.
- 10 Table 1c has one additional column.
- 11 **Column 5:** Numbers of assumed completed credit values associated with students franchised out between 1 August 2005 and 31 July 2006 inclusive. See Annex A, paragraphs 9 and 10 for more details. Credit values returned here should be net of all known or predicted transfers and non-completions, consistent with column 4, and are a subset of the data returned in column 4.

Table 2a – ITT (QTS) Home and EC Fundable Full-time Student Registrations (ASC 11a)

- 12 **Column 1:** Numbers of home and EC fundable full-time registrations between 1 August 2005 and 1 November 2005 inclusive, broken down by subject of study, for ASC 11a. Figures should be net of all known transfers, withdrawals and dropouts occurring up to 1 November 2005.
- 13 **Column 2:** Numbers of home and EC fundable full-time new entrant registrations consistent with column 1.
- 14 **Column 3:** Numbers of home and EC fundable full-time registrations forecast to complete their studies and gain QTS at the end of the academic year 2005/06, consistent with column 1.

Table 2b – ITT (QTS) Home and EC Fundable Full-time Undergraduate Registrations by Year and Length of Course (ASC 11a)

- 15 **Columns 1 to 4:** Home and EC fundable full-time undergraduate registrations between 1 August 2005 and 1 November 2005 inclusive, broken down into Primary, Secondary Shortage and Secondary Non-shortage Education for each year of the course and by total length of the course. The data returned here must match data returned in column 1 of Table 2a.
- 16 **Column 5:** This shows the overall number of home and EC fundable full-time undergraduate registrations between 1 August 2005 and 1 November 2005. It is the sum of columns 1 to 4. The calculation is done automatically in the spreadsheet.

Table 2c – ITT (QTS) Home and EC Fundable Part-time Student Registrations (ASC 11a)

Registrations:

- 17 **Column 1:** Numbers of home and EC fundable part-time registrations between 1 August 2005 and 1 November 2005 inclusive, broken down by subject of study, for ASC 11a. Figures should be net of all known transfers, withdrawals and dropouts occurring up to 1 November 2005.
- 18 **Column 2:** Predicted number of home and EC fundable part-time registrations after 1 November 2005, broken down by subject of study, for ASC 11a. Figures recorded here should be predictions after allowance for transfers after 1 November 2005.
- 19 **Column 3:** Numbers of home and EC fundable part-time new entrant registrations consistent with columns 1 and 2.
- 20 **Column 4:** Numbers of home and EC fundable part-time registrations forecast to complete their studies and gain QTS at the end of the academic year 2005/06, consistent with columns 1 and 2.

Credit Values:

- 21 **Column 1:** Numbers of home and EC fundable part-time credit values arising from registrations between 1 August 2005 and 1 November 2005 inclusive, broken down by subject of study, for ASC 11a. Figures should be net of all known transfers, withdrawals and dropouts occurring up to 1 November 2005.
- 22 **Column 2:** Predicted numbers of home and EC fundable part-time credit values arising from forecast new registrations after 1 November 2005 broken down by subject of study, for ASC 11a. Figures recorded here should be predictions after allowance for transfers after 1 November 2005.
- 23 **Column 3:** Number of credit values associated with home and EC fundable part-time new entrant registrations, consistent with columns 1 and 2.

Table 3 – Medicine and Dentistry Registrations by Year of Course (ASC 1)

- 24 **Columns 1 to 7:** Home and EC fundable full-time undergraduate assumed completed registrations for the academic year 2005/06 included in ASC 1 of column 1a of Table 5 recorded by year of the course with preliminary year (year 0) students shown separately. Intercalated students registered at Cardiff University and studying there should be shown in column 7 (registrations on intercalated year). Students returning from intercalated study should be shown in the sub-column 5b.
- 25 **Column 8:** This shows the overall number of home and EC fundable full-time undergraduate assumed completed registrations. It is the sum of columns 1 to 7. The calculation is done automatically in the spreadsheet.

Table 4a – Full-time, Sandwich Year Out and Part-time Registrations

- 26 Table 4a requests data on assumed completed registrations for the academic year 2005/06 for all students by mode of study, level of study and residential status and eligibility for mainstream funding. Registrations should be returned in accordance with the guidelines set out in Annex G.
- 27 There are four principal columns.
- 28 **Column 1:** Numbers of completed full-time registrations, split into home and EC fundable, home and EC non-fundable and Island and overseas. Figures should be net of all known and predicted transfers, withdrawals and dropouts.
- 29 **Column 2:** Numbers of completed sandwich year out registrations, split into home and EC fundable, home and EC non-fundable and Island and overseas. Figures should be net of all known and predicted transfers, withdrawals and dropouts.
- 30 **Column 3:** Numbers of completed part-time registrations, split into home and EC fundable, home and EC non-fundable and Island and overseas. Figures should be net of all known or predicted transfers, withdrawals and dropouts.
- 31 **Column 4:** This shows the overall number of assumed completed registrations. It is the sum of columns 1, 2 and 3, split into home and EC fundable, home and EC non-fundable and Island and overseas. The calculation is done automatically in the spreadsheet.

Table 4b – Full-time, Sandwich Year Out and Part-time New Entrant Registrations

- 32 **Columns 1 to 4:** Numbers of assumed completed home and EC fundable registrations for the academic year 2005/06 as returned in Table 4a but for new entrant registrations only. The data are a subset of those returned on Table 4a.

Table 5 – Full-time, Sandwich Year Out and Part-time Home and EC Fundable Registrations by ASC

- 33 Table 5 requests data on assumed completed registrations for the academic year 2005/06 for home and EC fundable students only, by mode of study, level of study and ASC. Registrations should be returned in accordance with the guidelines set out in Annex G.
- 34 **Columns 1a to 1d:** Numbers of completed full-time home and EC fundable registrations for undergraduate degree, undergraduate non-degree, postgraduate taught and postgraduate research provision, respectively. Figures should be net of all known and predicted transfers, withdrawals and dropouts.
- 35 **Columns 2a to 2d:** Numbers of completed sandwich year out home and EC fundable registrations for undergraduate degree, undergraduate non-degree, postgraduate taught and postgraduate research provision, respectively. Figures should be net of all known and predicted transfers, withdrawals and dropouts.

- 36 **Columns 3a to 3d:** Numbers of completed part-time home and EC fundable registrations for undergraduate (not including franchised out), undergraduate franchised out, postgraduate taught and postgraduate research provision, respectively. Figures should be net of all known and predicted transfers, withdrawals and dropouts.
- 37 **Columns 4a to 4c:** This shows the overall assumed number of completed home and EC fundable registrations for undergraduate, postgraduate taught and postgraduate research provision, respectively. Column 4a is the sum of columns 1a, 1b, 2a, 2b, 3a and 3b; column 4b is the sum of columns 1c, 2c and 3c; and column 4c is the sum of columns 1d, 2d and 3d. The calculations are done automatically in the spreadsheet.

Table 6 – SOCRATES/ERASMUS Registrations

- 38 **Column 1:** Total number of assumed completed full-time home and EC fundable SOCRATES/ERASMUS whole year exchange year out registrations for the academic year 2005/06 consistent with column 1a of Table 5.

HEIS RECEIVING FUNDING UNDER PHASE 2 OF THE ITT NEW INNOVATIVE PROVISION INITIATIVE

- 39 Data returned in Tables 1T and 2T must be whole numbers. Data returned in Table 3T may be returned to one decimal place, where partial completions are included as 0.5 of a completion.

Tables 1T and 2T – Full-time and Part-time Credit Values

- 40 Tables 1T and 2T have four principal columns. They request data on full-time and part-time credit values respectively for students funded through the initiative, by course title, for the academic year 2005/06. The credit values should be returned in accordance with the guidelines set out in Annex H.
- 41 **Columns 1 to 4:** As described for columns 1 to 4 of Tables 1a and 1c.

Table 3T – Full-time and Part-time Student Registrations

- 42 Table 3T has three principal columns and requests data on full-time and part-time assumed completed registrations for the academic year 2005/06 for students being funded through the initiative.
- 43 **Columns 1 to 3:** As described for columns 1, 3 and 4, respectively, of Table 4a.

HESA/HESES AND HESA/EYM MAPPINGS

- 1 This annex provides mappings which HEFCW will use to analyse HESA data and provide information on a comparable basis to those collected on the HESES and EYM surveys. These mappings should be regarded as indicative **not** definitive. It should be noted that in some cases, for example, the classification of full-time sandwich year out, it is not possible to define HESES categories entirely in terms of HESA fields. In particular, where students follow non-standard academic years and patterns of study within the institution are not the same from year to year, there may be discrepancies in comparisons made.
- 2 In all cases, the HESES/EYM rules must be followed when completing the HESES/EYM returns. It should not be assumed that students fall into a particular HESES/EYM category solely on the basis of the coding of HESA fields shown in this annex. The mappings show the name of the relevant HESA field with the number of the field in brackets; those from the student record are prefixed by an S; those from the module record are prefixed by an M. Guidance relating to the HESA fields can be found in the HESA coding manuals, available at www.hesa.ac.uk.

HESES/EYM POPULATION

- 3 The following categories of students are excluded from the HESES population:

Students not studying towards a recognised HE qualification aim or a credit that can be counted towards one	QUALAIM (S41) = 53 to 55, 63, 71 to 86, 97 to 99
Students who withdraw on or before 1 November 2005	DATELEFT (S35) ≤ 01112005 and FUNDCOMP (S140) ≠ 1
Dormant, sabbatical or writing up students	MODE (S70) = 43, 44, 51, 63, 64
Incoming exchange students	SPCSTU (S28) = 3, 4, 5, 6, 8
Students taught wholly outside the UK	LOCSDY (S71) = 7
Students studying for less than 3% FTE	STULOAD (S74) < 3
Specific exclusions	FUNDCOMP (S140) = 9

- 4 The following students, though in the population, will not be counted as registrations for HESES/EYM (some credit values associated with these students may be counted where a module is started in the final year in which the student is active):

Students in their final year attending a course which follows a non-standard academic year	TYPEYR (S138) = 2 and DATELEFT (S35) ≤ 31072006 and DATELEFT (S35) ≤ anniversary of COMDATE (S26) in 2005/06
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HESES/EYM CATEGORISATION

Residential Status and Eligibility for Mainstream Funding

- 5 Students will be categorised into residential status and eligibility for funding as follows:

Home and EC fundable	FUNDCODE (S65) = 1
Home and EC non-fundable	FUNDCODE (S65) = 2 and FEEELIG (S66)= 1, 3
Island and overseas	Otherwise

Assignment to ASCs

Registrations

- 6 Full-time registrations will be assigned to ASCs on the basis of the JACS codes shown in SBJQA1 (S43), SBJQA2 (S44), SBJQA3 (S45) and the balance indicator shown in SJBID (S46), with the exceptions of medicine and dentistry (ASC 1) and ITT (QTS) (ASC 11a). The mapping between JACS codes and ASCs shown in Annex D will be used. Registrations can be split across ASCs and the following proportions in each ASC will assumed:

SBJQA1 (S43)	SBJQA2 (S44)	SBJQA3 (S45)	SJBID (S46)	Proportion assigned to ASC
Completed	Blank	Blank	Blank	100% SBJQA1
Completed	Completed	Blank	1	50% SBJQA1, 50% SBJQA2
Completed	Completed	Blank	2	67% SBJQA1, 33% SBJQA2
Completed	Completed	Completed	Blank	33% SBJQA1, 33% SBJQA2, 33% SBJQA3

- 7 Medicine and dentistry registrations will be assigned using SBJQA1 (S43) only, as they can not be split between ASCs. ASCs will be assigned as follows:

	SBJQA1 (S43)
Pre-clinical medicine	A100
Clinical medicine	A300
Pre-clinical dentistry	A200
Clinical dentistry	A400

- 8 Medical and dental registrations will be further categorised as follows:

Registrations on intercalated years	QUALAIM (S41) = 24
	YEARPRG (S72)
Year 0	00
Year 1	01
Year 2	02
Year 3	03
Year 4	04

- 9 Registrations will be assigned to ASC 11a, ITT (QTS), where TTCID (S53) = 1. Registrations will be further categorised as follows:

Primary phase	ITTPHSC (S54) = 15 to 19, 51 to 53
Secondary phase	ITTPHSC (S54) = 24 to 26, 55 to 57 Subject of study will be assigned using SBJQA1 (S43)
Gained QTS	OUTCOME (S146) = 1
YEARPRG (S72)	
Year 1	01
Year 2	02
Year 3	03
Year 4	04

Credits

- 10 Credit values are assigned to ASCs according to the JACS codes of the module, returned in SBJ01 (M13) and SBJ02 (M16), and the respective proportions in each, SBJPER01 (M14) and SBJPER02 (M17), using the mapping in Annex D. Modules undertaken by the HESES population are included in any comparisons and are counted in the academic year in which the module starts. The number of credit values is taken to be CRDTPTS (M9). Modules are assigned to ASCs as follows:

SBJ01 (M13)	SBJ02 (M16)	Proportion of CRDTPTS (M9) assigned to ASC
Completed	Blank	100% SBJ01
Completed	Completed	SBJPER01 (M14) to SBJ01, SBJPER02 (M17) to SBJ02

Mode of Study

- 11 Mode of study is categorised as follows:

Full-time	MODE (S70) = 01 or MODE (S70) = 23, 24, 52, 53 and FEEBAND (S67) ≠ 02, 42
Full-time sandwich year out	MODE (S70) = 23, 24, 52, 53 and FEEBAND (S67) = 02, 03, 42 and LOCSDY (S71) = D, F
Part-time	Otherwise

Level of Study

- 12 Level of study is categorised as follows:

Undergraduate degree	QUALAIM (S41) = 18, 20 to 24 or QUALAIM (S41) = 12, 13 and TTCID (S53) ≠ 1
Undergraduate non-degree	QUALAIM (S41) = 15, 25 to 30, 32, 33, 41 to 45, 51, 52, 61

Postgraduate taught	QUALAIM (S41) = 03, 05, 07, 08, 10, 62 or QUALAIM (S41) = 12, 13 and TTCID (S53) = 1
Postgraduate research	QUALAIM (S41) = 02, 04, 06, 14

New Entrants

- 13 New entrants are categorised as follows:

New entrants	YEARPRG (S72) = 01
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- 14 This coding assumes that patterns of study on courses including an integrated foundation year are similar from year to year.

Students Franchised Out

- 15 Students that are franchised out for the whole of the year are categorised as follows:

Franchised out	FRNCHACT (S150) = 3
----------------	---------------------

- 16 Franchised out credit values are taken to be those associated with franchised out students.

SOCRATES/ERASMUS Student registrations

- 17 SOCRATES/ERASMUS students on whole year exchange out are categorised as follows:

SOCRATES/ERASMUS exchange year out	SPCSTU (S28) = 7 and FEEBAND (S67) = 03
---------------------------------------	--

Registrations up to 1 November

- 18 The following registrations will be assumed to be those registrations up to the 1 November, counted on Tables 2a and 2b of HESES and Table 2 of EYM:

Those who complete on or before 1 November 2005 and are on a standard academic year	DATELEFT (S35) \leq 01112005 and FUNDCOMP (S140) = 1 and TYPEYR (S138) = 1
Those who started on or before 1 November 2005	COMDATE (S26) \leq 01112005

Completions

- 19 Registrations that are eligible to be counted on HESES/EYM are assumed to be completed as follows:

Registrations that completed the year of programme, or have yet to complete but not failed to complete	FUNDCOMP (S140) = 1, 3
--	------------------------

- 20 This does not include partial completions and may lead to an underestimate of the number of completed registrations returned on HESES/EYM.

Assignment of Credit Values to Columns in Tables 1a, 1b and 1c

Column 1

- 21 Credit values for modules associated with the following registrations, will be assumed to be in column 1 of Tables 1a, 1b and 1c:

Those who complete on or before 1 November 2005 and are on a standard academic year	DATELEFT (S35) \leq 01112005 and FUNDCOMP (S140) = 1 and TYPEYR (S138) = 1
Those who started on or before 1 November 2005	COMDATE (S26) \leq 01112005
Those on non-standard academic year courses who start their final year of study in 2004/05 and finish it in 2005/06	DATELEFT (S35) \leq 31072006 and DATELEFT (S35) \leq anniversary of COMDATE (S26) in 2005/06 and FUNDCOMP (S140) = 1 and TYPEYR (S138) = 2

Column 2

- 22 Credit values for modules associated with the following registrations, will be assumed to be in column 2 of Tables 1a, 1b and 1c:

Those who started after 1 November 2005	COMDATE (S26) $>$ 01112005
---	----------------------------

Column 4

- 23 Credit values associated with the following registrations, will be assumed to be in column 4 of Tables 1a, 1b and 1c:

Countable registrations that completed the year of programme, or have yet to complete but not failed to complete	FUNDCOMP (S140) = 1, 3
--	------------------------

- 24 Note that where modules were completed, but the student registration was counted as a non-completion, then the credit values will not be counted as completed in the comparison. Additionally, partial non-completions will be included as non-completions. This may lead to an underestimate of the number of completed credit values returned on HESES/EYM.

WORKBOOK NOTES

- 1 Excel workbooks containing spreadsheet versions of the tables to complete for the HESES 2005/06 return will be e-mailed to data contacts at individual institutions.
- 2 The e-mail will have one or two Excel workbooks attached depending on whether the institution has directly funded provision only or also has provision funded under the phase 2 of the ITT New Innovative Provision Initiative. Each workbook is saved in Excel 2000 and prefixed with a four character code identifying the institution, as follows.

Workbook name	Tables included
____HESES.xls	Tables 1 to 6
____HESES(ITT).xls	Tables 1T, 3T, 3T

- 3 Each table is contained in a separate worksheet within the workbook and worksheets are named after the relevant table.
- 4 In addition to the worksheets containing the tables to be completed, a worksheet containing a summary of the information returned on the tables together with data from previous years, is included in the HESES workbook. This summary worksheet contains a comparison of HESES and EYM data for 2001/02 to 2004/05 and a summary of the average number of credit values per registration, returned on the HESES and EYM surveys for 2001/02 to 2004/05 and the HESES 2005/06 survey. The tables presented in this worksheet are for information only and no data should be entered in this table.
- 5 If the workbooks are corrupt or unreadable please contact Tomos Jones (hestats@hefcw.ac.uk, 029 2068 2262) for assistance.
- 6 It is advisable to make a backup copy of the blank workbooks before attempting to edit any of the tables.
- 7 Institutions must not attempt to change the structure of the workbook by adding or deleting worksheets, rows or columns, or by overwriting or deleting any formulae. Worksheets contain information critical to loading of the data and it is essential that the structure of the workbook is not changed in any way.
- 8 Each workbook should be saved in Excel on completion, using the same filename and extension as that originally supplied. Names of the individual worksheets must not be changed. If the workbook is not saved in Excel 2000, institutions should ensure that the software has not used a different file extension by default.
- 9 The workbook containing Tables 1 to 6 includes a number of validation checks (see Annex M). Institutions should ensure their data pass all validation checks before the workbooks are returned.
- 10 The name of the person completing the tables and the date of completion must be entered in the workbook, in the boxes provided.
- 11 The completed workbooks should be returned by e-mail to hestats@hefcw.ac.uk. We will confirm receipt of e-mail returns.

VALIDATION CHECKS

- 1 A number of validation checks have been built into the Excel workbook containing Tables 1 to 6 (see Annex P) and these are listed in paragraphs 3 to 14 below. The validation checks can be found to the right of the corresponding tables.
- 2 If data pass a particular validation check, a validation cell above the table will read 'Validation #: OK'; if data fail, the validation cell will read 'Validation #: Failure' (# denotes the particular validation check). If there is a validation failure, the cell causing the error will read 'ERROR' and be highlighted in red in the relevant validation check to the right of the table.
- 3 **Validation check 1:** In Tables 1a, 1b and 1c, if there is an entry in column 3, there must be an entry in column 1, column 2 or both columns 1 and 2.
- 4 **Validation check 2:** In Tables 1a, 1b and 1c, values entered in columns 1, 2 and 3 must be whole numbers.
- 5 **Validation check 3:** In Table 1c, values entered in column 5 must be whole numbers.
- 6 **Validation check 4:** In Table 1c, for each level and ASC, franchised out credit values (column 5) \leq total credit values (column 4).
- 7 **Validation check 5:** In Table 2a for each level and ASC, new entrants (column 2) \leq registrations between 1 August and 1 November (column 1).
- 8 **Validation check 6:** In Table 2a for each level and ASC, forecast registrations gaining QTS (column 3) \leq registrations between 1 August and 1 November (column 1).
- 9 **Validation check 7:** In Table 2b, the Primary, Secondary Shortage and Secondary Non-shortage totals = the corresponding totals of Table 2a (column 1) for undergraduate provision.
- 10 **Validation check 8:** In Table 2c (registrations) for each level and ASC, new entrants (column 3) \leq registrations for 2005/06 (column 1 & column 2).
- 11 **Validation check 9:** In Table 2c (registrations) for each level and ASC, forecast registrations gaining QTS (column 4) \leq registrations for 2005/06 (column 1 & column 2).
- 12 **Validation check 10:** In Table 2c (credit values) for each level and ASC, new entrant credit values (column 3) \leq total credit values for 2005/06 (column 1 & column 2).
- 13 **Validation check 11:** In Table 3, the total across all years (including intercalated students) = full-time undergraduate registrations shown in ASC 1, Table 5 (column 1a).
- 14 **Validation check 12:** In Tables 4a, 4b and 5, all values entered must be to no more than 1 decimal place and must be a multiple of 0.5.
- 15 **Validation check 13:** In Table 4b, for each mode, level, and fee status, new entrant registrations \leq corresponding total registrations in Table 4a.
- 16 **Validation check 14:** In Table 5 for each mode and level, total registrations = the corresponding total home and EC fundable registrations in Table 4a.
- 17 **Validation check 15:** In Table 6, total registrations \leq total undergraduate degree registrations returned in Table 5 (column 1a).

- 18 In addition to the above validation checks, some of the tables contain credibility checks, displayed beside the validation checks to the right of the tables. Institutions are invited to check and comment on instances where a CHECK message occurs.
- 19 **Credibility check 16:** In Tables 1a, 1b and 1c for each level of study and ASC, percentage increase/decrease between EYM04 and HESES05 > 25%, for column 4 credit values.
- 20 **Credibility check 17:** In Table 4a, for each level of study and mode of study, percentage increase/decrease between EYM04 and HESES05 > 25%.
- 21 **Credibility check 18:** In Table 4b, for each level of study and mode of study, percentage increase/decrease between HESES04 and HESES05 > 25%.
- 22 **Credibility check 19:** In Table 5, for each level of study, mode of study and ASC, percentage increase/decrease between EYM04 and HESES05 > 25%.

USAGE OF DATA BY HEFCW

- 1 The primary reason for collecting data through the HESES survey is to provide data to inform funding allocations and policy decisions in instances where data are not yet available from other sources, for example, the HESA student record. An outline of the reasons for collecting the data on each table is given below.

Tables 1a, 1b and 1c

- 2 Tables 1a, 1b and 1c collect data relating to credit values for all modes of study and levels of study apart from postgraduate research. Credit values collected on HESES 2005/06 are used as the basis for calculating teaching funding allocations for the 2006/07 academic year.
- 3 For full-time and sandwich provision, funding allocations are calculated separately for undergraduate degree, undergraduate non-degree and postgraduate taught levels of study. Credit values associated with home and EC fundable registrations are collected, by ASC, in these three categories.
- 4 For part-time provision, funding allocations are calculated separately for undergraduate (not franchised out), undergraduate franchised out and postgraduate taught. Credit values associated with home and EC fundable registrations are collected, by ASC, in each of these three categories.
- 5 Collecting credit values data for all ASCs ensures that HEFCW has a complete picture of the number of credit values associated with home and EC fundable registrations relating to modules for the whole of the academic year.

Tables 2a, 2b, 2c and 3

- 6 Data collected on Table 2a and 2c are used to predict and monitor the number of teachers qualifying with QTS in each phase, level of study and subject, and to inform decisions by Government about which subjects are counted as shortage or non-shortage.
- 7 Data collected on Tables 2b and 3 are used to calculate funded numbers for full-time undergraduate ITT (QTS) courses and full-time undergraduate medicine and dentistry courses, respectively. Funded numbers for such courses are not calculated in the main funding model as for ASCs 2 to 10 and 11b; rather they are based on an intake quota set by the WAG. The data collected on these tables, together with equivalent data from previous years, are used to calculate progression rates of students on such courses from year to year. These progression rates are then used in conjunction with the intake quotas to calculate the total funded numbers for the year.

Tables 4a, 4b and 5

- 8 Tables 4a, 4b and 5 collect data relating to registrations. Table 4a collects data on all completed registrations for the year. The data are used to gain a complete picture of the size of the sector and also of the scale of provision that is not fundable by HEFCW. Table 4b collects equivalent data for new entrants only. The data in these tables are used to assess any scope for growth in funded numbers in relation to the planning numbers provided by the WAG and to predict the size of the sector in the future. This information can be taken from the HESA record but it is currently not timely enough for HEFCW's purposes.
- 9 Table 5 collects data for home and EC fundable registrations only, by ASC. The data collected here are used to look at patterns in student recruitment and to provide data to inform policy about particular subject areas. The data are also used to monitor the number of credit values returned in the survey, per registration.

Table 6

- 10 Data collected on Table 6 are used to calculate fee compensation for full-time undergraduate SOCRATES/ERASMUS whole year exchange year out students.

Tables 1T, 2T and 3T

- 11 Data collected on the ITT New Innovative Provision Initiative tables are used to calculate funding for the initiative and to monitor numbers involved in the initiative. The data are also used to inform policy decisions relating to the future of the initiative.

PRINTED TABLES FOR COMPLETION BY ALL INSTITUTIONS DIRECTLY FUNDED BY HEFCW

HESES 2005/06

Table 1a: Home and EC Fundable Credit Values

Mode: Full-time

Institution:

Code:

ASC	Level	Credit Values between 1 August 2005 and 1 November 2005	Forecast of Credit Values after 1 November 2005 in AY 2005/06	Estimated Number of Credit Values Not Completed (of those in columns 1 & 2)	Assumed Credit Values AY 2005/06 Col (1) + (2) - (3)
		1	2	3	4
ASC 1 Clinical & Pre-clinical Subjects	1a: Pre-clinical Medicine	UG degree			0
		UG non-degree			0
		PGT			0
	1b: Clinical Medicine	UG degree			0
		UG non-degree			0
		PGT			0
	1c: Pre-clinical Dentistry	UG degree			0
		UG non-degree			0
		PGT			0
	1d: Clinical Dentistry	UG degree			0
		UG non-degree			0
		PGT			0
ASC 2 Subjects & Professions Allied to Medicine	UG degree				0
	UG non-degree				0
	PGT				0
ASC 3 Science	UG degree				0
	UG non-degree				0
	PGT				0
ASC 4 Engineering & Technology	UG degree				0
	UG non-degree				0
	PGT				0
ASC 5 Built Environment	UG degree				0
	UG non-degree				0
	PGT				0
ASC 6 Mathematical Sciences, IT and computing	UG degree				0
	UG non-degree				0
	PGT				0
ASC 7 Business & Management	UG degree				0
	UG non-degree				0
	PGT				0
ASC 8 Social Sciences	UG degree				0
	UG non-degree				0
	PGT				0
ASC 9 Humanities	UG degree				0
	UG non-degree				0
	PGT				0
ASC 10 Art, Design and Performing Arts	UG degree				0
	UG non-degree				0
	PGT				0
ASC 11 Education	11a: ITT (QTS) Primary	UG			0
		PGT			0
	11a: ITT (QTS) Secondary shortage	UG			0
		PGT			0
	11a: ITT (QTS) Secondary non-shortage	UG			0
		PGT			0
	11b: Non-QTS	UG degree			0
		UG non-degree			0
PGT				0	
Total	UG degree	0	0	0	0
	UG non-degree	0	0	0	0
	PGT	0	0	0	0
Total		0	0	0	0

HESES 2005/06

Table 1b: Home and EC Fundable Credit Values

Mode: Sandwich Year Out

Institution:

Code:

ASC	Level	Credit Values between 1 August 2005 and 1 November 2005	Forecast of Credit Values after 1 November 2005 in AY 2005/06	Estimated Number of Credit Values Not Completed (of those in columns 1 & 2)	Assumed Credit Values AY 2005/06
					Col (1) + (2) - (3)
		1	2	3	4
ASC 1 Clinical & Pre-clinical Subjects	1a: Pre-clinical Medicine	UG degree			0
		UG non-degree			0
		PGT			0
	1b: Clinical Medicine	UG degree			0
		UG non-degree			0
		PGT			0
	1c: Pre-clinical Dentistry	UG degree			0
		UG non-degree			0
		PGT			0
	1d: Clinical Dentistry	UG degree			0
		UG non-degree			0
		PGT			0
ASC 2 Subjects & Professions Allied to Medicine	UG degree				0
	UG non-degree				0
	PGT				0
ASC 3 Science	UG degree				0
	UG non-degree				0
	PGT				0
ASC 4 Engineering & Technology	UG degree				0
	UG non-degree				0
	PGT				0
ASC 5 Built Environment	UG degree				0
	UG non-degree				0
	PGT				0
ASC 6 Mathematical Sciences, IT and computing	UG degree				0
	UG non-degree				0
	PGT				0
ASC 7 Business & Management	UG degree				0
	UG non-degree				0
	PGT				0
ASC 8 Social Sciences	UG degree				0
	UG non-degree				0
	PGT				0
ASC 9 Humanities	UG degree				0
	UG non-degree				0
	PGT				0
ASC 10 Art, Design and Performing Arts	UG degree				0
	UG non-degree				0
	PGT				0
ASC 11 Education	11a: ITT (QTS) Primary	UG			0
		PGT			0
	11a: ITT (QTS) Secondary shortage	UG			0
		PGT			0
	11a: ITT (QTS) Secondary non-shortage	UG			0
	PGT			0	
	11b: Non-QTS	UG degree			0
	UG non-degree				0
	PGT				0
Total	UG degree	0	0	0	0
	UG non-degree	0	0	0	0
	PGT	0	0	0	0
Total		0	0	0	0

Sandwich Year Out should be returned in this table as 120 credit values per registration.

HESES 2005/06

Table 1c: Home and EC Fundable Credit Values

Mode: Part-time

Institution:

Code:

ASC		Level	Credit Values between 1 August 2005 and 1 November 2005	Forecast of Credit Values after 1 November 2005 in AY 2005/06	Estimated Number of Credit Values Not Completed (of those in columns 1 & 2)	Assumed Credit Values AY 2005/06 Col (1) + (2) - (3)	Franchised Out Credit Values (of those in column 4)
			1	2	3	4	5
ASC 1	1a: Pre-clinical Medicine	UG				0	
Clinical & Pre-clinical Subjects		PGT				0	
	1b: Clinical Medicine	UG				0	
		PGT				0	
	1c: Pre-clinical Dentistry	UG				0	
		PGT				0	
	1d: Clinical Dentistry	UG				0	
		PGT				0	
ASC 2		UG				0	
Subjects & Professions Allied to Medicine		PGT				0	
ASC 3		UG				0	
Science		PGT				0	
ASC 4		UG				0	
Engineering & Technology		PGT				0	
ASC 5		UG				0	
Built Environment		PGT				0	
ASC 6		UG				0	
Mathematical Sciences, IT and computing		PGT				0	
ASC 7		UG				0	
Business & Management		PGT				0	
ASC 8		UG				0	
Social Sciences		PGT				0	
ASC 9		UG				0	
Humanities		PGT				0	
ASC 10		UG				0	
Art, Design and Performing Arts		PGT				0	
ASC 11	11a: ITT (QTS) Primary	UG				0	
Education		PGT				0	
	11a: ITT (QTS) Secondary shortage	UG				0	
		PGT				0	
	11a: ITT (QTS) Secondary non-shortage	UG				0	
		PGT				0	
	11b: Non-QTS	UG				0	
		PGT				0	
Total		UG	0	0	0	0	0
		PGT	0	0	0	0	0
Total			0	0	0	0	0

HESES 2005/06

Table 2a: Home and EC Fundable ITT (QTS) Student Registrations

Mode: Full-time

Institution:

Code:

Phase	Subject	Level	Registrations between 1 August 2005 and November 2005	New Entrants (of those in column 1)	Forecast registrations gaining QTS (of those in column 1)	
			1	2	3	
Primary Education		UG degree PGT				
Secondary Education	Shortage subjects:					
	Information Technology	UG degree PGT				
	Design and Technology	UG degree PGT				
	Drama	UG degree PGT				
	English	UG degree PGT				
	French	UG degree PGT				
	German	UG degree PGT				
	Italian	UG degree PGT				
	Spanish	UG degree PGT				
	Other Modern Languages	UG degree PGT				
	Welsh	UG degree PGT				
	Mathematics	UG degree PGT				
	Biology	UG degree PGT				
	Chemistry	UG degree PGT				
	General/Integrated Science	UG degree PGT				
	Physics	UG degree PGT				
	Other Science	UG degree PGT				
	Total Shortage Subjects		UG degree	0.0	0.0	0.0
			PGT	0.0	0.0	0.0
	Non-Shortage subjects:					
	Art	UG degree PGT				
	Business Studies	UG degree PGT				
	Geography	UG degree PGT				
	History	UG degree PGT				
	Music	UG degree PGT				
	Physical Education	UG degree PGT				
	Religious Education	UG degree PGT				
	Other (Specify)	UG degree PGT				
	Total Non-shortage Subjects		UG degree	0.0	0.0	0.0
		PGT	0.0	0.0	0.0	
Total		UG degree	0.0	0.0	0.0	
		PGT	0.0	0.0	0.0	
Total			0.0	0.0	0.0	

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Table 2b: Home and EC Fundable ITT (QTS) Student Registrations

Mode: Full-time

Institution:

Code:

Level	Phase*	Registrations between 1 August 2005 and 1 November 2005 (as returned in column 1 of Table 2a)										
		Year 1				Year 2			Year 3		Year 4	Total
		1 year course	2 year course	3 year course	4 year course	2 year course	3 year course	4 year course	3 year course	4 year course		
		1a	1b	1c	1d	2a	2b	2c	3a	3b	4	5
Undergraduate	Primary											0.0
	Secondary shortage											0.0
	Secondary non-shortage											0.0

Do not complete
Contains formulae

* See Table 2a for definition of secondary shortage and non-shortage subjects

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Table 2c: Home and EC Fundable ITT (QTS) Student Registrations and Associated Credit Values

Mode: Part-time

Institution:

Code:

Phase	Subject	Level	Registrations between 1 August 2005 and 1 November 2005	Forecast of Registrations after 1 November 2005 in AY 2005/06	New Entrants (of those in columns 1 & 2)	Forecast registrations gaining QTS (of those in columns 1 & 2)
			1	2	3	4
Primary Education		UG degree PGT				
Secondary Education	Shortage subjects:					
	Information Technology	UG degree PGT				
	Design and Technology	UG degree PGT				
	Drama	UG degree PGT				
	English	UG degree PGT				
	French	UG degree PGT				
	German	UG degree PGT				
	Italian	UG degree PGT				
	Spanish	UG degree PGT				
	Other Modern Languages	UG degree PGT				
	Welsh	UG degree PGT				
	Mathematics	UG degree PGT				
	Biology	UG degree PGT				
	Chemistry	UG degree PGT				
	General/Integrated Science	UG degree PGT				
	Physics	UG degree PGT				
	Other Science	UG degree PGT				
	Total Shortage Subjects	UG degree PGT	0.0 0.0	0.0 0.0	0.0 0.0	0.0 0.0
	Non-Shortage subjects:					
	Art	UG degree PGT				
	Business Studies	UG degree PGT				
	Geography	UG degree PGT				
	History	UG degree PGT				
	Music	UG degree PGT				
	Physical Education	UG degree PGT				
	Religious Education	UG degree PGT				
	Other (Specify)	UG degree PGT				
	Total Non-shortage Subjects	UG degree PGT	0.0 0.0	0.0 0.0	0.0 0.0	0.0 0.0
Total		UG degree PGT	0.0 0.0	0.0 0.0	0.0 0.0	0.0 0.0
Total			0.0	0.0	0.0	0.0

Phase	Subject	Level	Credit values between 1 August 2005 and 1 November 2005	Forecast of Credit Values after 1 November 2005 in AY 2005/06	Credit Values associated with New Entrants (of those in columns 1 & 2)
			1	2	3
Primary Education		UG degree PGT			
Secondary Education	Shortage subjects:				
	Information Technology	UG degree PGT			
	Design and Technology	UG degree PGT			
	Drama	UG degree PGT			
	English	UG degree PGT			
	French	UG degree PGT			
	German	UG degree PGT			
	Italian	UG degree PGT			
	Spanish	UG degree PGT			
	Other Modern Languages	UG degree PGT			
	Welsh	UG degree PGT			
	Mathematics	UG degree PGT			
	Biology	UG degree PGT			
	Chemistry	UG degree PGT			
	General/Integrated Science	UG degree PGT			
	Physics	UG degree PGT			
	Other Science	UG degree PGT			
	Total Shortage Subjects	UG degree PGT	0.0 0.0	0.0 0.0	0.0 0.0
	Non-Shortage subjects:				
	Art	UG degree PGT			
	Business Studies	UG degree PGT			
	Geography	UG degree PGT			
	History	UG degree PGT			
	Music	UG degree PGT			
	Physical Education	UG degree PGT			
	Religious Education	UG degree PGT			
	Other (Specify)	UG degree PGT			
	Total Non-shortage Subjects	UG degree PGT	0.0 0.0	0.0 0.0	0.0 0.0
Total		UG degree PGT	0.0 0.0	0.0 0.0	0.0 0.0
Total			0.0	0.0	0.0

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Table 3: Home and EC Fundable Undergraduate Medicine and Dentistry Registrations

Mode: Full-time

Institution:

Code:

ASC	Assumed completed registrations in academic year 2005/06 (as returned on Table 5)									
	Year 0 Total	Year 1 Total	Year 2 Total	Year 3 Total	Year 4		Year 5 Total	Registrations on intercalated year	Total	
					Total	Of which are returners from intercalated year				
	1	2	3	4	5a	5b	6	7	8	
1a - Pre-clinical Medicine										0.0
1b - Clinical Medicine										0.0
1c - Pre-clinical Dentistry										0.0
1d - Clinical Dentistry										0.0

Do not complete
Contains formulae

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Table 4a: Student Registrations

Mode: All Modes

Institution:

Code:

Level of study	Assumed completed registrations in academic year 2005/06											
	Full-time			Sandwich Year Out			Part-time			Total		
	Home and EC		Island and overseas	Home and EC		Island and overseas	Home and EC		Island and overseas	Home and EC		Island and overseas
	Fundable	Non-fundable		Fundable	Non-fundable		Fundable	Non-fundable		Fundable	Non-fundable	
1a	1b	1c	2a	2b	2c	3a	3b	3c	4a	4b	4c	
Undergraduate degree										0.0	0.0	0.0
Undergraduate non-degree										0.0	0.0	0.0
Undergraduate (not franchised out)										0.0	0.0	0.0
Undergraduate franchised out										0.0	0.0	0.0
Undergraduate total	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Postgraduate taught										0.0	0.0	0.0
Postgraduate research										0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

Do not complete
Contains formulae

Each sandwich year out registration is counted as 1.

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Table 4b: New Entrants

Mode: All Modes

Institution:

Code:

Level of study	Assumed completed new entrant registrations in academic year 2005/06											
	Full-time			Sandwich Year Out			Part-time			Total		
	Home and EC		Island and overseas	Home and EC		Island and overseas	Home and EC		Island and overseas	Home and EC		Island and overseas
	Fundable	Non-fundable		Fundable	Non-fundable		Fundable	Non-fundable		Fundable	Non-fundable	
1a	1b	1c	2a	2b	2c	3a	3b	3c	4a	4b	4c	
Undergraduate degree										0.0	0.0	0.0
Undergraduate non-degree										0.0	0.0	0.0
Undergraduate (not franchised out)										0.0	0.0	0.0
Undergraduate franchised out										0.0	0.0	0.0
Undergraduate total	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Postgraduate taught										0.0	0.0	0.0
Postgraduate research										0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

Do not complete
Contains formulae

Each sandwich year out registration is counted as 1.

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Table 5: Home and EC Fundable Student Registrations

Mode: All Modes

Institution:

Code:

ASC		Assumed completed registrations in academic year 2005/06														
		Full-time				Sandwich Year Out				Part-time				Total		
		Undergraduate degree	Undergraduate non-degree	Postgraduate taught	Postgraduate research	Undergraduate degree	Undergraduate non-degree	Postgraduate taught	Postgraduate research	Undergraduate (not franchised out)	Undergraduate franchised out	Postgraduate taught	Postgraduate research	Undergraduate	Postgraduate taught	Postgraduate research
		1a	1b	1c	1d	2a	2b	2c	2d	3a	3b	3c	3d	4a	4b	4c
1	Clinical and Pre-clinical Medicine and Dentistry												0.0	0.0	0.0	
2	Subjects and Professions Allied to Medicine												0.0	0.0	0.0	
3	Science												0.0	0.0	0.0	
4	Engineering and Technology												0.0	0.0	0.0	
5	Built Environment												0.0	0.0	0.0	
6	Mathematical Sciences, IT and Computing												0.0	0.0	0.0	
7	Business and Management												0.0	0.0	0.0	
8	Social Sciences												0.0	0.0	0.0	
9	Humanities												0.0	0.0	0.0	
10	Art, Design and Performing Arts												0.0	0.0	0.0	
11aa	Education ITT (QTS) Primary												0.0	0.0	0.0	
11ab1	Education ITT (QTS) Secondary Shortage												0.0	0.0	0.0	
11ab2	Education ITT (QTS) Secondary Non-shortage												0.0	0.0	0.0	
11b	Education (Non-QTS)												0.0	0.0	0.0	
Total		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	

Contains formulae

Each sandwich year out registration is counted as 1.

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Table 6: Home and EC Fundable Undergraduate SOCRATES/ERASMUS Exchange Year Out Registrations

Mode: Full-time

Institution:

Code:

	Assumed completed registrations in academic year 2005/06 (as returned on Table 5)
	1
SOCRATES/ERASMUS exchange year out students	

**PRINTED TABLES FOR COMPLETION BY HEIs RECEIVING FUNDING UNDER PHASE 2 OF
THE ITT NEW INNOVATIVE PROVISION INITIATIVE**

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ITT New Innovative Provision Initiative

Table 1T: Home and EC Fundable Credit Values

Mode: Full-time

Institution:

Code:

Course Title	Credit Values between 1 August 2005 and 1 November 2005	Forecast of Credit Values after 1 November 2005 in AY 2005/06	Estimated Number of Credit Values Not Completed (of those in columns 1 & 2)	Assumed Credit Values AY 2005/06
	1	2	3	Col (1) + (2) - (3) 4
				0
				0
				0
				0
				0
	0	0	0	0

Contains formulae

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Table 2T: Home and EC Fundable Credit Values

Mode: Part-time

Institution:

Code:

Course Title	Credit Values between 1 August 2005 and 1 November 2005	Forecast of Credit Values after 1 November 2005 in AY 2005/06	Estimated Number of Credit Values Not Completed (of those in columns 1 & 2)	Assumed Credit Values AY 2005/06
	1	2	3	4 Col (1) + (2) - (3)
				0
				0
				0
				0
				0
	0	0	0	0

Contains formulae

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ITT New Innovative Provision Initiative

Table 3T: Student Registrations

Mode: All Modes

Institution:

Code:

Level of study	Assumed completed registrations in academic year 2005/06								
	Full-time			Part-time			Total		
	Home and EC		Island and overseas	Home and EC		Island and overseas	Home and EC		Island and overseas
	Fundable	Non-fundable		Fundable	Non-fundable		Fundable	Non-fundable	
1a	1b	1c	3a	3b	3c	4a	4b	4c	
Undergraduate degree							0	0	0
Undergraduate non-degree							0	0	0
Undergraduate							0	0	0
Undergraduate total	0	0	0	0	0	0	0	0	0
Postgraduate taught							0	0	0
Total	0	0	0	0	0	0	0	0	0

Do not complete
Contains formulae